

On Approximating the Covering Radius and Finding Dense Lattice Subspaces

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Outline

1. Integer Programming and the Kannan-Lovász (KL) Conjecture.
2. ℓ_2 KL Conjecture & the Reverse Minkowski Conjecture.
3. Finding dense lattice subspaces.

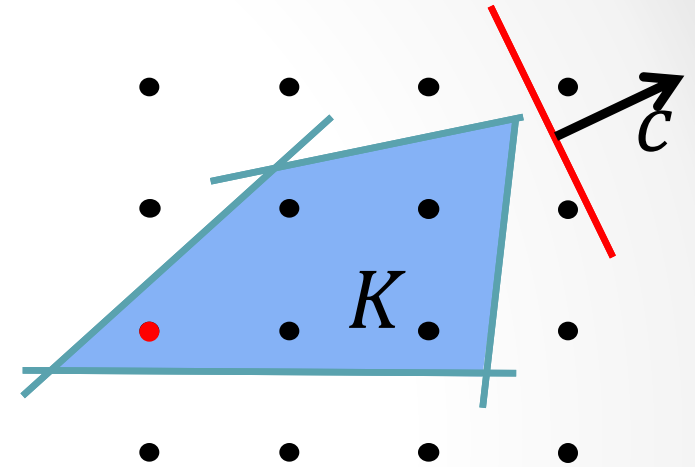
Integer Programming (IP)

$$\min cx$$

$$\text{s.t. } Ax \leq b$$

$$x \in \mathbb{Z}^n$$

n variables, m constraints



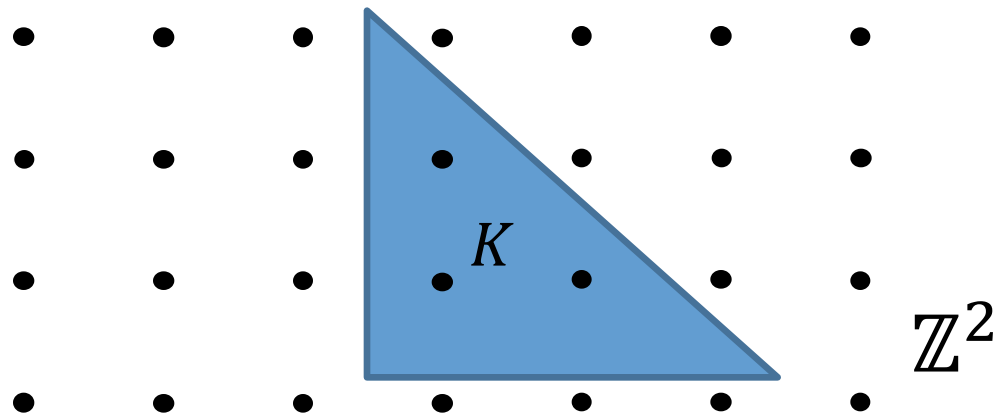
Open Question: Is there a $2^{O(n)}$ time algorithm?

First result: $2^{O(n^2)}$ [Lenstra '83]

Best known complexity: $n^{O(n)}$ [Kannan '87]

Main Dichotomy

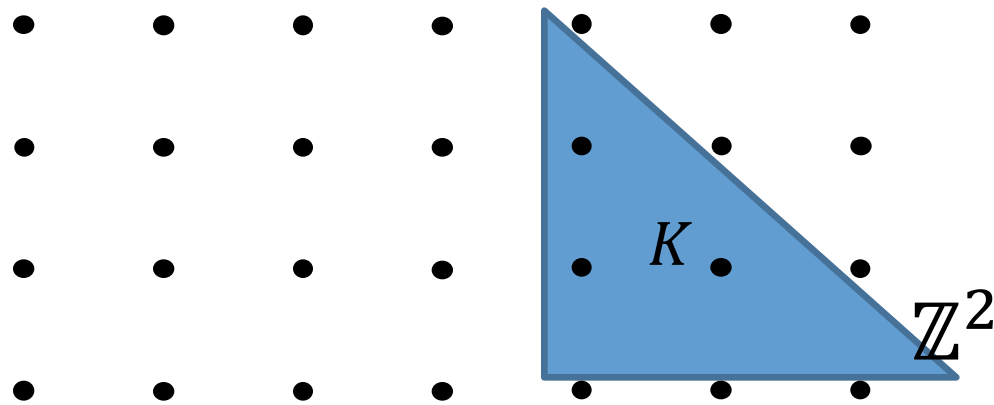
$\mu(K, \mathbb{Z}^n)$:= **smallest** scaling s such that **every shift** $sK + t$ contains an integer point.



Either **covering radius** $\mu(K, \mathbb{Z}^n) \leq 1$.

Main Dichotomy

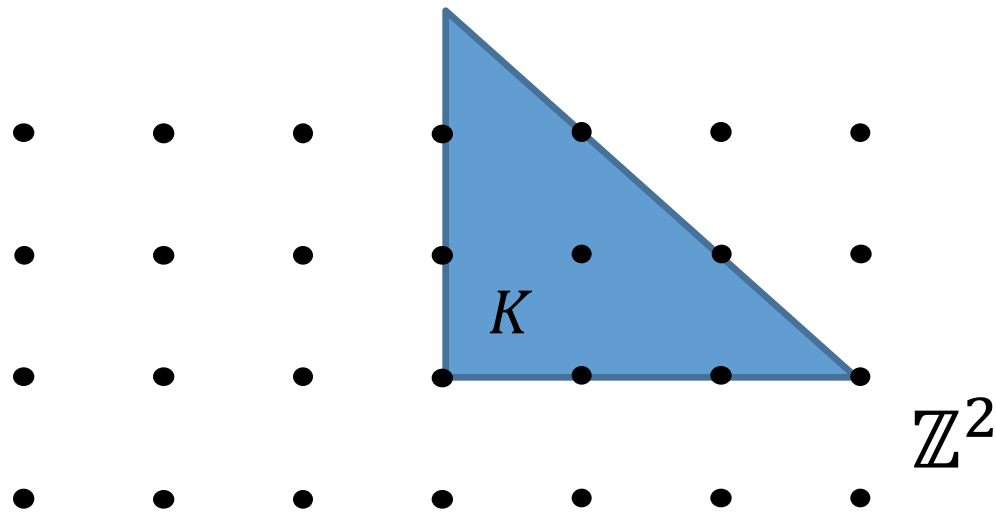
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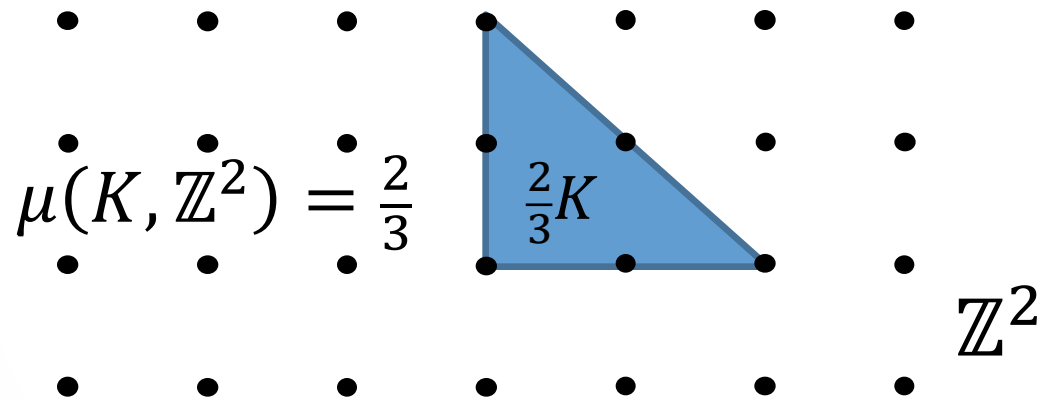
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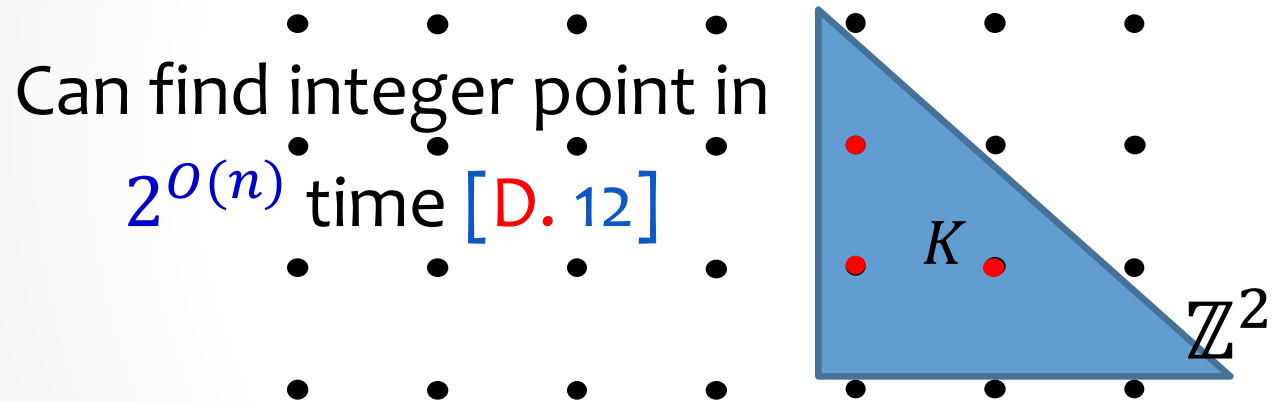
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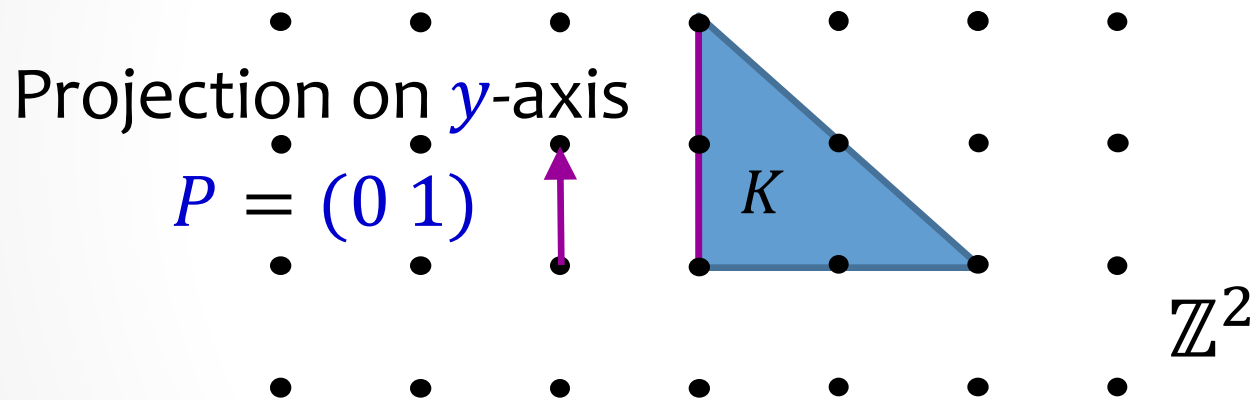
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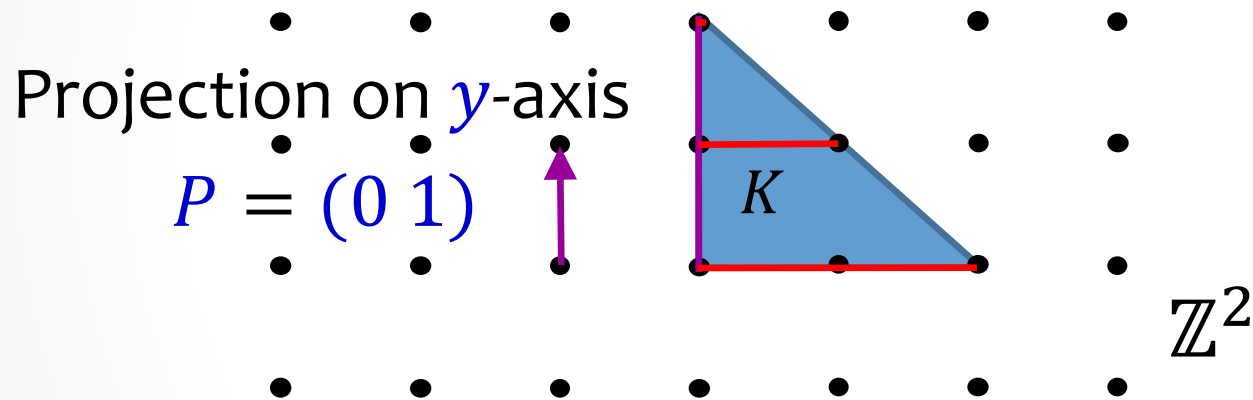
Or K is “flat”:



There exists rank $k \geq 1$ integer projection $P \in \mathbb{Z}^{n \times k}$
such $\text{vol}_k(PK)^{\frac{1}{k}}$ is **small**.

Main Dichotomy

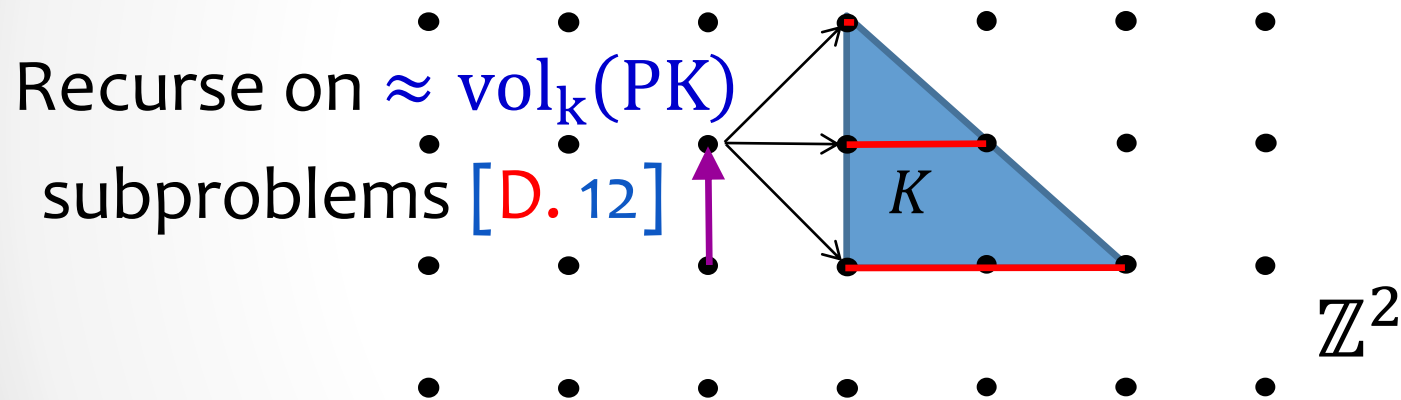
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Duality Relation

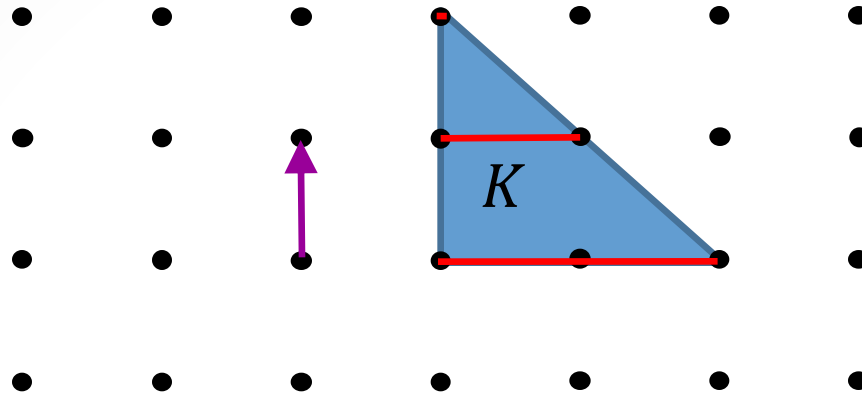
$$1 \leq \mu(K, \mathbb{Z}^n) \min_{\substack{P \in \mathbb{Z}^{k \times n} \\ \text{rk}(P) = k \geq 1}} \text{vol}_k(PK)^{\frac{1}{k}} \leq ?$$

“Easy” side

“Hard” side

Either covering radius $\mu(K, \mathbb{Z}^n)$ is small
or K is “flat”.

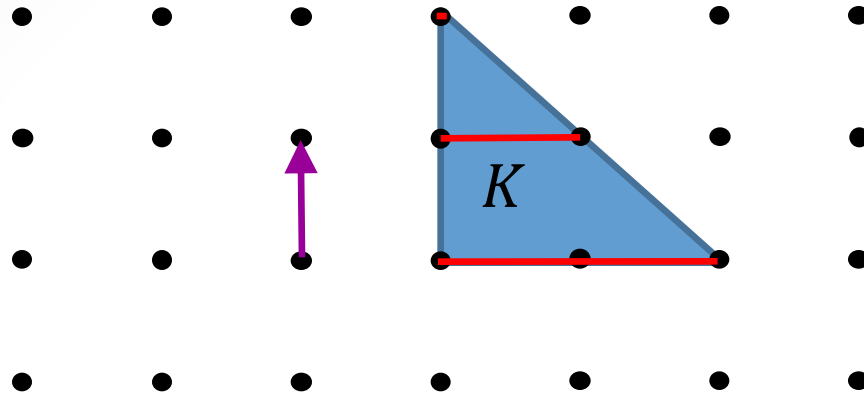
Khinchine Flatness Theorem



$$1 \leq \mu(K, \mathbb{Z}^n) \min_{\substack{P \in \mathbb{Z}^{1 \times n} \\ rk(P)=1}} \text{vol}_1(PK) \leq \tilde{O}(n^{4/3})$$

[Khinchine `48, Babai `86, Hastad `86, Lenstra-Lagarias-Schnorr `87, Kannan-Lovasz `88, Banaszczyk `93-96, Banaszczyk-Litvak-Pajor-Szarek `99, Rudelson `00]

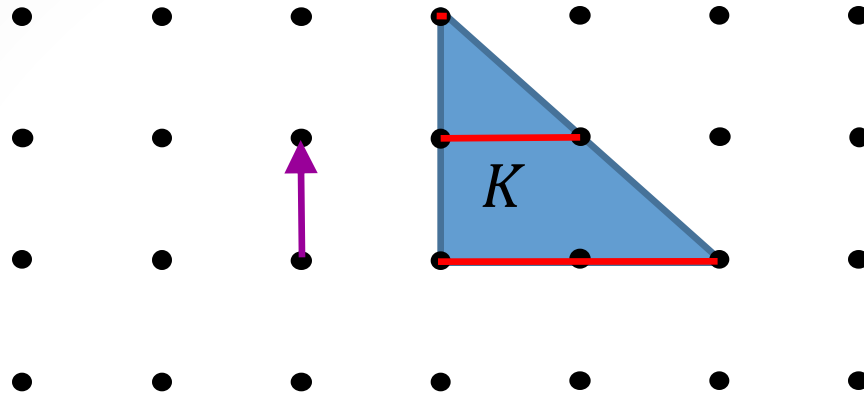
Kannan-Lovász Flatness Theorem



$$1 \leq \mu(K, \mathbb{Z}^n) \min_{\substack{P \in \mathbb{Z}^{k \times n} \\ rk(P)=k \geq 1}} \text{vol}_k(PK)^{\frac{1}{k}} \leq n$$

[Kannan '87, Kannan-Lovász '88]

Kannan-Lovász (KL) Conjecture



$$1 \leq \mu(K, \mathbb{Z}^n) \min_{\substack{P \in \mathbb{Z}^{k \times n} \\ rk(P)=k \geq 1}} \text{vol}_k(PK)^{\frac{1}{k}} \leq O(\log n) !!$$

Faster Algorithm for IP?

$$1 \leq \mu(K, \mathbb{Z}^n) \min_{\substack{P \in \mathbb{Z}^{k \times n} \\ rk(P)=k \geq 1}} \text{vol}_k(PK)^{\frac{1}{k}} \leq O(\log n)$$

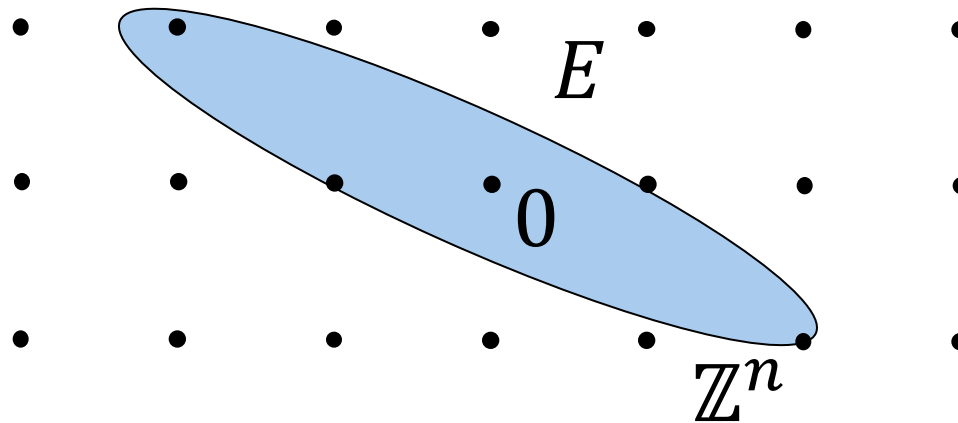
D. '12: Assuming KL conjecture

+ P computable in $(\log n)^{O(n)}$ time

then there is $(\log n)^{O(n)}$ time algorithm for IP.

ℓ_2 Kannan-Lovász Conjecture

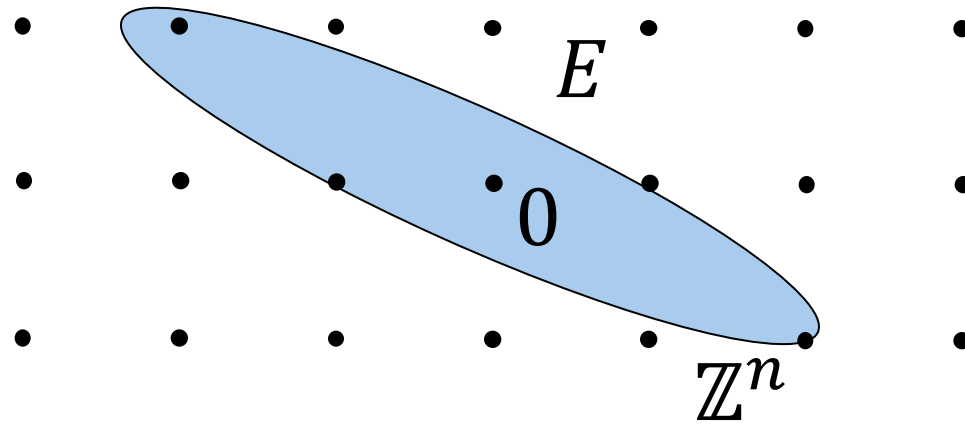
Does the conjecture hold for ellipsoids?



An ellipsoid is $E = TB_2^n$

ℓ_2 Kannan-Lovász Conjecture

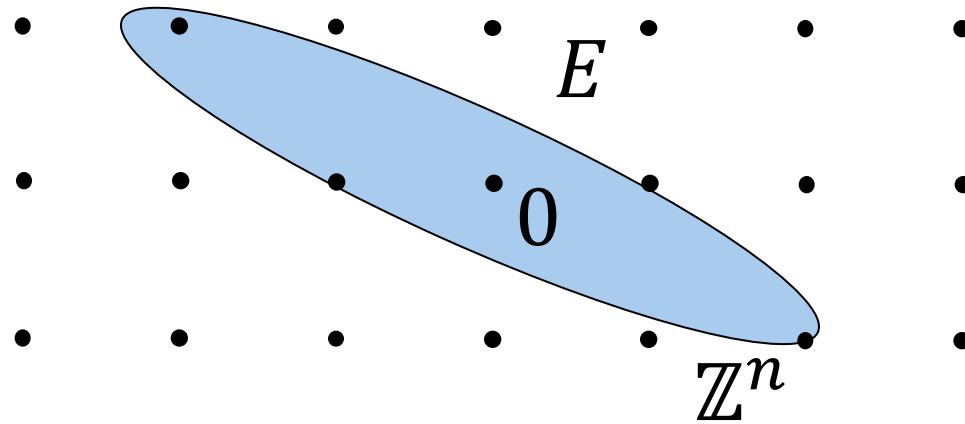
Answer: **YES*** [Regev-S.Davidowitz 17]



* up to polylogarithmic factors

ℓ_2 Kannan-Lovász Conjecture

Can we compute the projection P ?



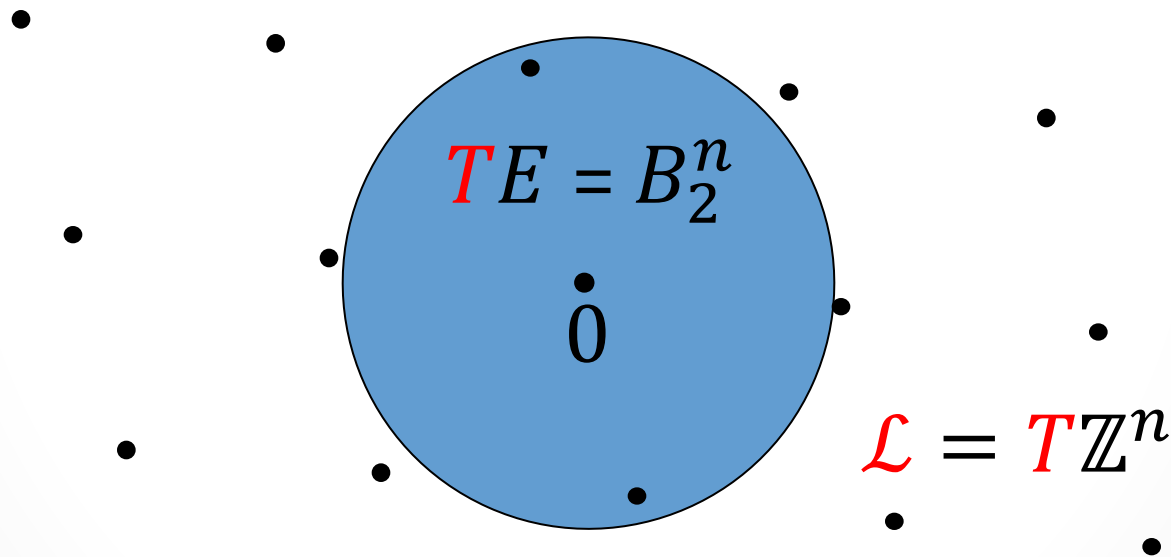
THIS TALK: YES, in $2^{O(n)}$ time.

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ℓ_2 Kannan-Lovász Conjecture

Easier to think of Euclidean ball vs general lattice.

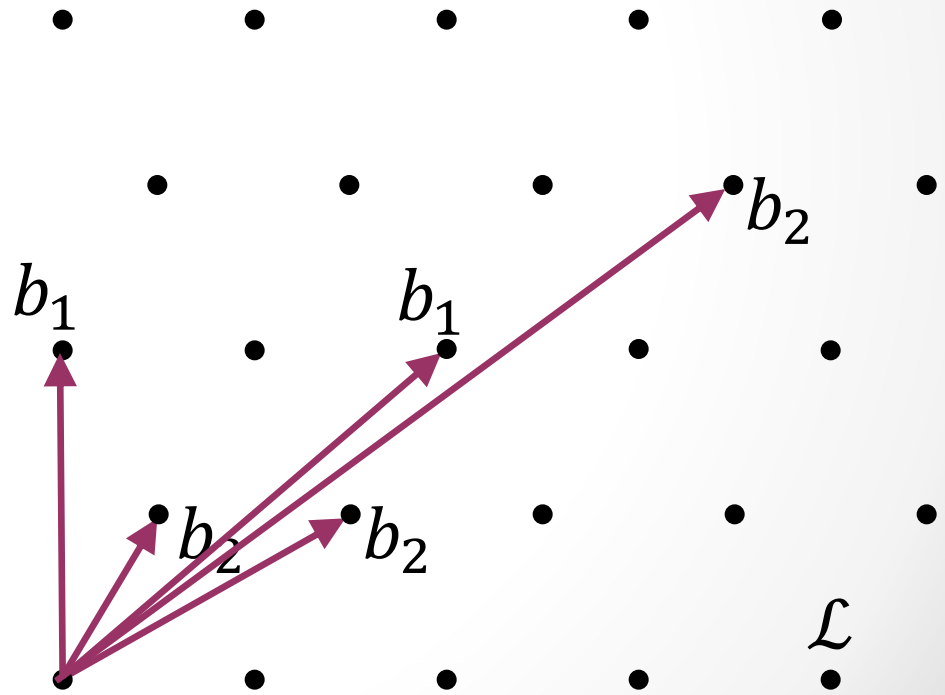


Lattices

A lattice $\mathcal{L} \subseteq \mathbb{R}^n$ is $B\mathbb{Z}^n$ for a basis $B = (b_1, \dots, b_n)$.

$\mathcal{L}(B)$ denotes the lattice generated by B .

Note: a lattice has many equivalent bases.

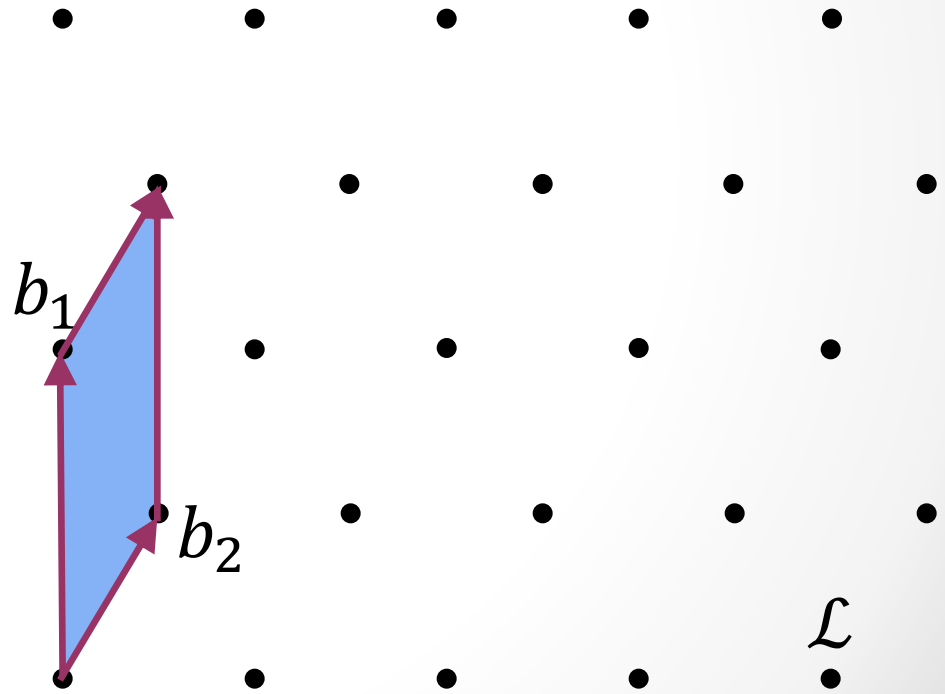


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The determinant of \mathcal{L} is $|\det(B)|$.

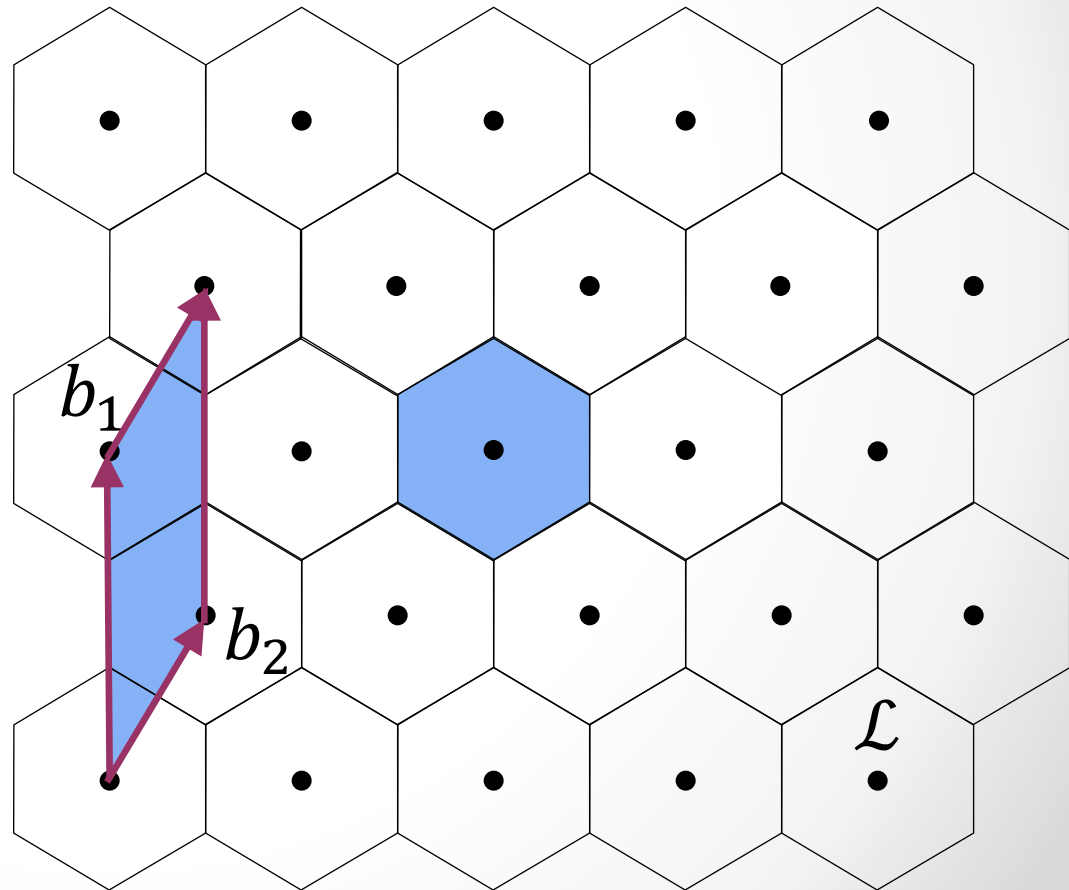


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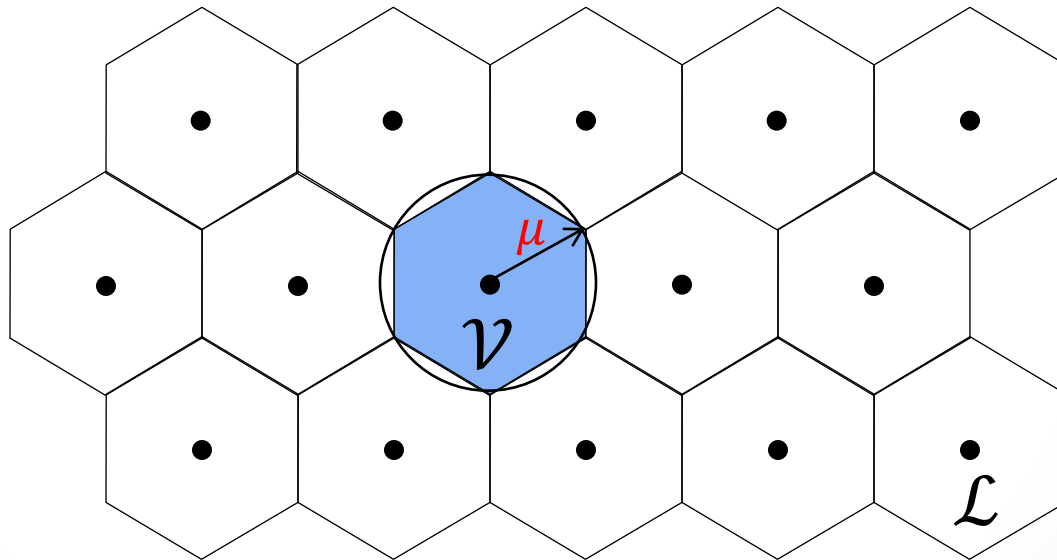
The determinant of \mathcal{L} is $|\det(B)|$.
Equal to volume of any **tiling** set.



ℓ_2 Covering Radius

$$\mu(\mathcal{L}) := \mu(B_2^n, \mathcal{L})$$

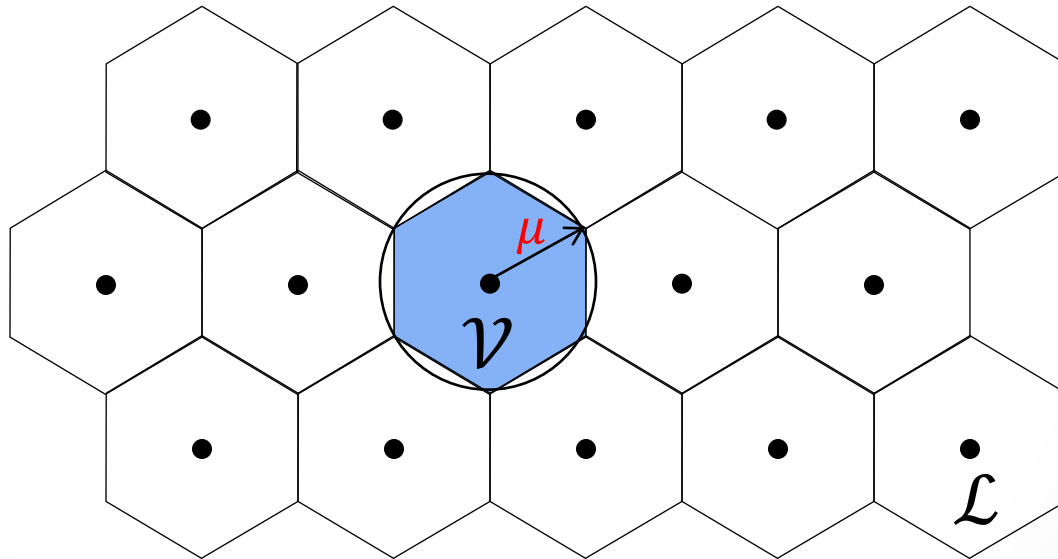
Distance of farthest point to the lattice \mathcal{L} .



Voronoi cell $\mathcal{V} :=$ all points closer to 0

Volumetric Lower Bounds

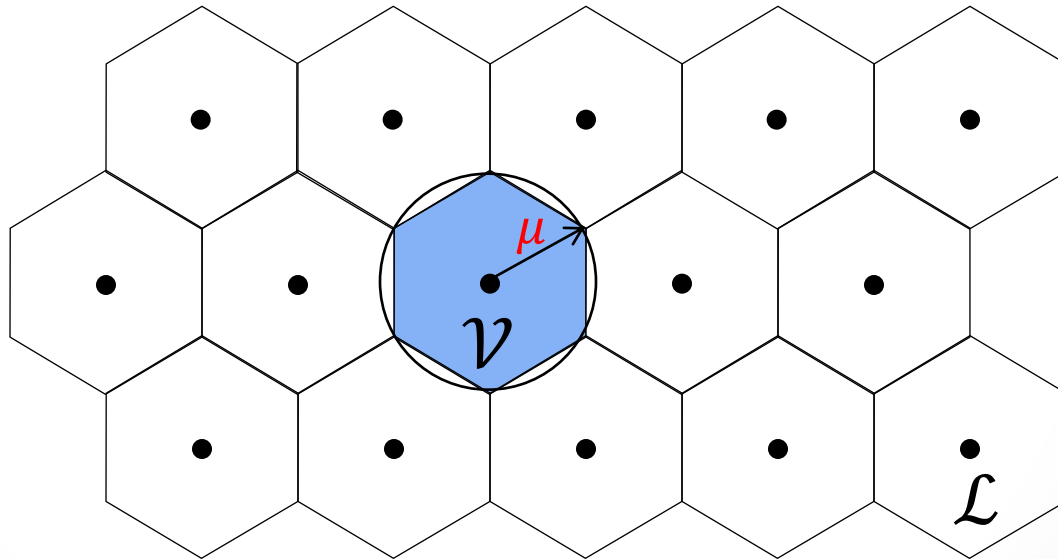
$$\text{vol}_n(B_2^n \mu(\mathcal{L})) \geq \text{vol}_n(\mathcal{V}) = \det(\mathcal{L})$$



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Volumetric Lower Bounds

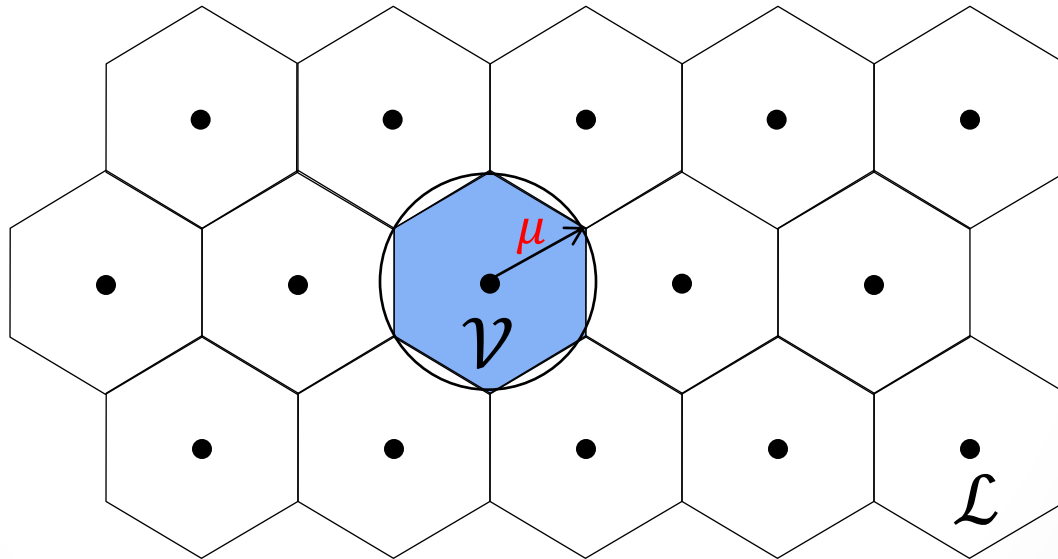
$$\mu(\mathcal{L}) \geq \text{vol}_n(B_2^n)^{-\frac{1}{n}} \det(\mathcal{L})^{\frac{1}{n}}$$



Voronoi cell $\mathcal{V} :=$ all points closer to 0

Volumetric Lower Bounds

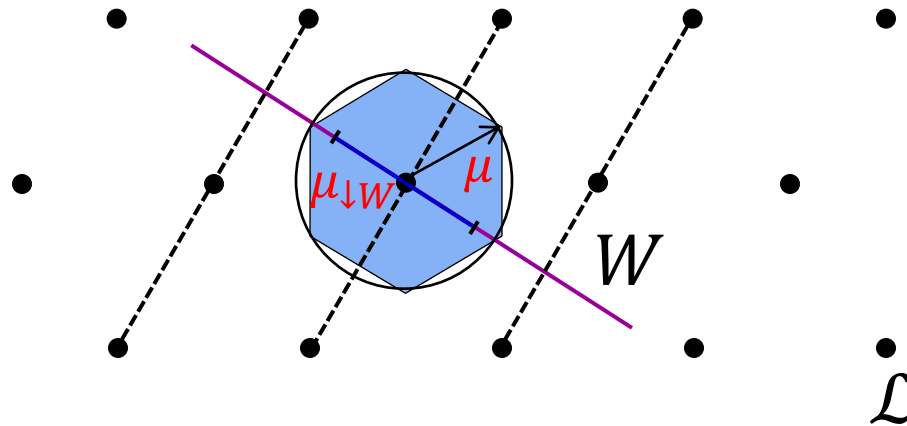
$$\mu(\mathcal{L}) \gtrsim \sqrt{n} \det(\mathcal{L})^{\frac{1}{n}}$$



Voronoi cell $\mathcal{V} :=$ all points closer to 0

Volumetric Lower Bounds

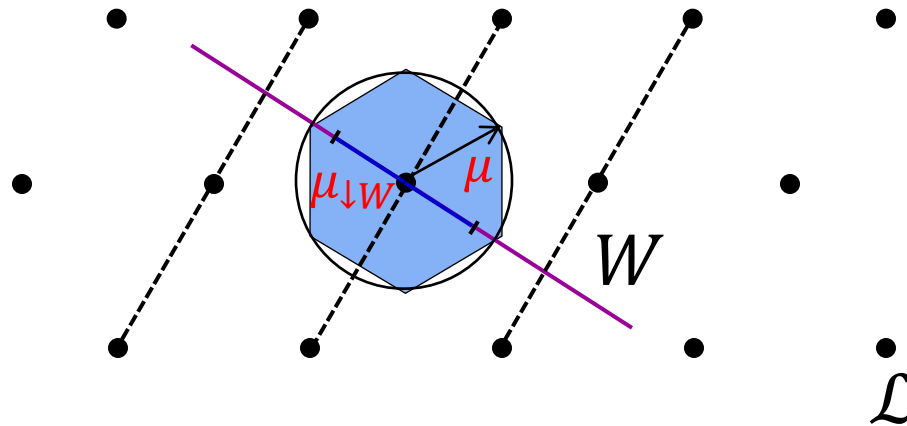
$$\mu(\mathcal{L}) \geq \mu(\mathcal{L}_{\downarrow W})$$



$\mathcal{L}_{\downarrow W}$ projection onto W

Volumetric Lower Bounds

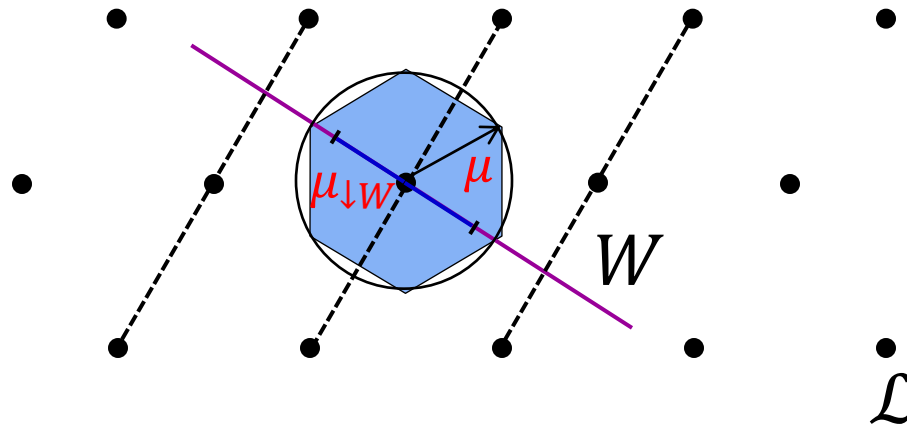
$$\mu(\mathcal{L}) \geq \mu(\mathcal{L}_{\downarrow W}) \gtrsim \sqrt{k} \det(\mathcal{L}_{\downarrow W})^{\frac{1}{k}}$$



$\mathcal{L}_{\downarrow W}$ projection onto W
 $\dim(W) = k \geq 1$

Volumetric Lower Bounds

$$\mu(\mathcal{L}) \gtrsim \max_{\dim(W)=k \geq 1} \sqrt{k} \det(\mathcal{L}_{\downarrow W})^{\frac{1}{k}}$$



$\mathcal{L}_{\downarrow W}$ projection onto W
 $\dim(W) = k \geq 1$

ℓ_2 Kannan-Lovász Conjecture

Define $C_{KL,2}(n)$ to be smallest number such that

$$\mu(\mathcal{L}) \leq C_{KL,2}(n) \max_{\dim(W)=k \geq 1} \sqrt{k} \det(\mathcal{L}_{\downarrow W})^{\frac{1}{k}}$$

for all lattices of dimension at most n .

$$C_{KL,2}(n) = \Omega(\sqrt{\log n})$$

Lower bound for \mathcal{L} with basis $e_1, \frac{1}{\sqrt{2}} e_2, \dots, \frac{1}{\sqrt{n}} e_n$.

KL Bounds

$$\mu(\mathcal{L}) \leq C_{KL,2}(n) \max_{\dim(W)=k \geq 1} \sqrt{k} \det(\mathcal{L}_{\downarrow W})^{\frac{1}{k}}$$

Kannan-Lovász '88: \sqrt{n}

D. Regev '16: $\log^{O(1)} n$

Assuming Reverse Minkowski Conjecture.

Regev, S. Davidowitz '17: $\log^{3/2} n$

Reverse Minkowski Conjecture is proved!

Our Results

n dimensional lattice $\mathcal{L} := \mathcal{L}(B)$

1. Can compute subspace W , $\dim(W) = k \geq 1$

$$\mu(\mathcal{L}) \leq O(\log^{2.5} n) \sqrt{k} \det(\mathcal{L}_{\downarrow W})^{\frac{1}{k}}$$

in $2^{O(n)}$ time with high probability.

Prior work:

Kannan Lovász '88: \sqrt{n} in $2^{O(n)}$ time.

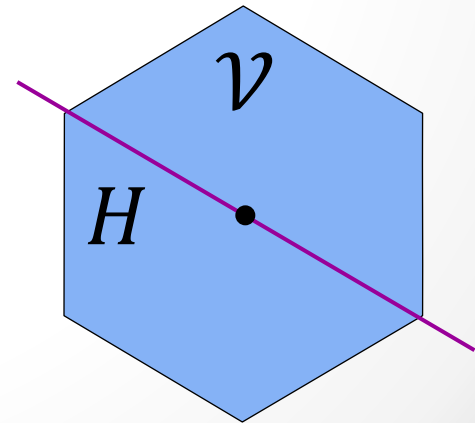
D. Micciancio '13: best subspace in $n^{O(n^2)}$ time.

Our Results

n dimensional lattice $\mathcal{L} := \mathcal{L}(B)$

2. Can combine lower bounds over different subspaces to certify $\mu(L)$ up to the slicing constant L_n for “stable” Voronoi cells*.

* If $\text{vol}_n(\mathcal{V}) = 1$
can find hyperplane H s.t.
 $\text{vol}_{n-1}(\mathcal{V} \cap H) = \Omega(\frac{1}{L_n})$



Our Results

n dimensional lattice $\mathcal{L} := \mathcal{L}(B)$

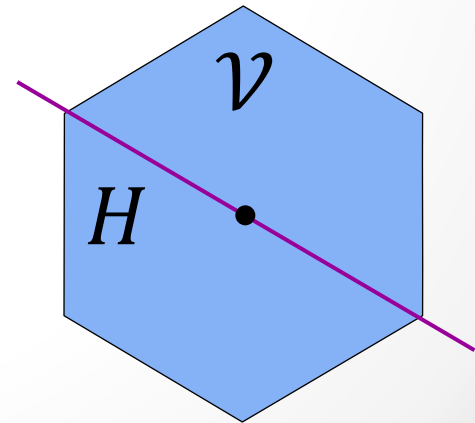
2. Can combine lower bounds over different subspaces to certify $\mu(L)$ up to the slicing constant L_n for “stable” Voronoi cells*.

Slicing Conjecture:

$L_n = O(1)$ for all convex bodies!

For “stable” Voronoi cells:

$L_n = O(\log n)$ [RS `17]



Notation

$M \subseteq \mathcal{L}$ sublattice of dimension k

Convention: $M = \{0\}$ then $\det(M) := 1$.

Normalized Determinant:

$$\text{nd}(M) := \det(M)^{1/k}$$

Projected Sublattice:

$$\mathcal{L}/M := \mathcal{L} \text{ projected onto } \text{span}(M)^\perp$$

Lower Bounds for Chains

Theorem [D. 17]:

For $\{0\} = \mathcal{L}_0 \subset \mathcal{L}_1 \subset \dots \subset \mathcal{L}_k = \mathcal{L}$ then

$$\mu(\mathcal{L})^2 \gtrsim \sum_{i=1}^k \dim(\mathcal{L}_i / \mathcal{L}_{i-1}) \operatorname{nd}(\mathcal{L} / \mathcal{L}_{i-1})^2$$

Only “missing ingredient”:

Combined with techniques from [R.S. '17] easily get tightness within slicing constant L_n .

Lower Bounds for Chains

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Proof Idea:

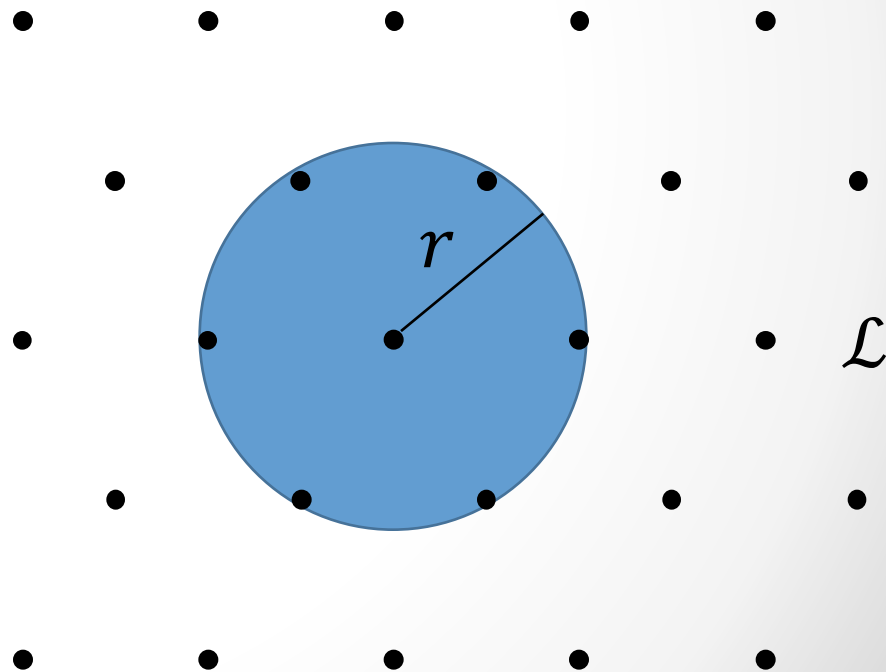
1. Establish SDP based lower bound: [D.R. '16]

$$\begin{aligned} \mu(\mathcal{L})^2 &\gtrsim \max \sum_i \operatorname{rk}(P_i) \operatorname{nd}(P_i \mathcal{L})^2 \\ \text{s.t. } &\sum_i P_i^* P_i \preceq I_n \end{aligned}$$

2. Build solution to above starting from any chain.

Lattice Density

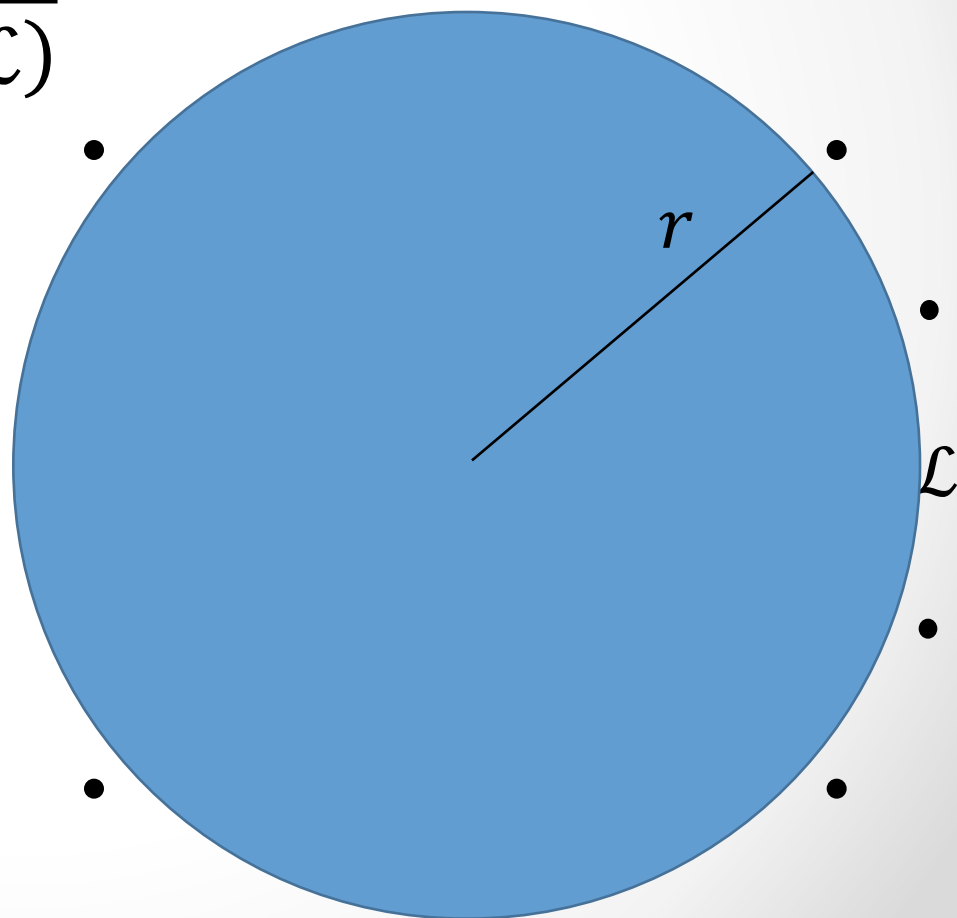
$$\lim_{r \rightarrow \infty} \frac{|\mathcal{L} \cap rB_2^n|}{\text{vol}_n(rB_2^n)} = \frac{1}{\det(\mathcal{L})}$$



Lattice Density

$$\lim_{r \rightarrow \infty} \frac{|\mathcal{L} \cap rB_2^n|}{\text{vol}_n(rB_2^n)} = \frac{1}{\det(\mathcal{L})}$$

Global density of lattice points
per unit volume



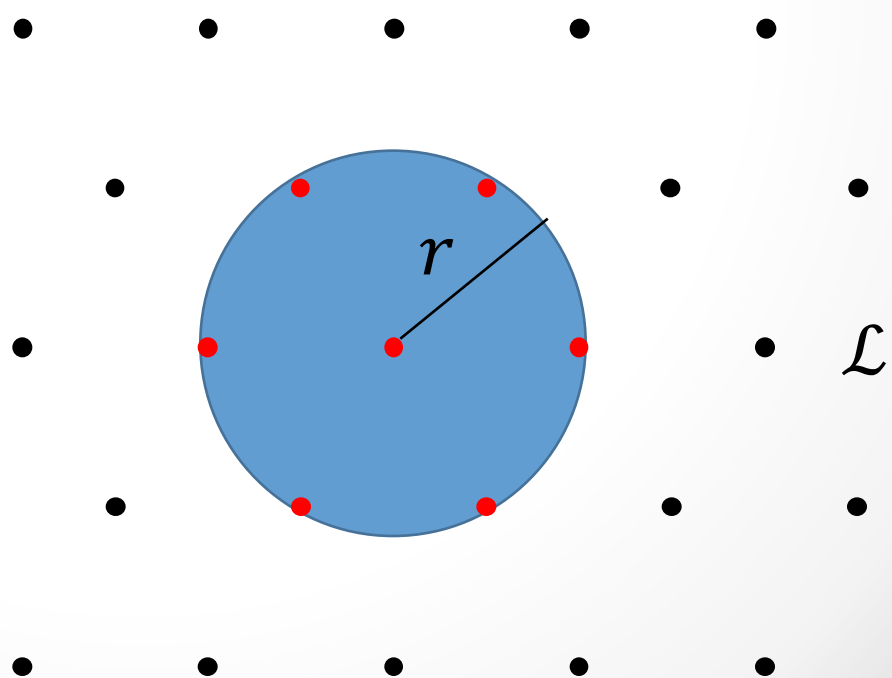
Minkowski's First Theorem



1889

Global density implies
“local density”

$$|\mathcal{L} \cap rB_2^n| \geq 2^{-n} \frac{\text{vol}_n(rB_2^n)}{\det(\mathcal{L})}$$



Reverse Minkowski Theorem

Regev-S.Davidowitz '17:

\mathcal{L} lattice dimension n .

If all sublattices of \mathcal{L}

have determinant **at least 1** then:

\mathcal{L} has at most $2^{O(\log^2 n r^2)}$ points at distance r .

Almost tight: \mathbb{Z}^n has $n^{\Omega(k)}$ points at distance r
for $k \ll n$.

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Densest Subspace Problem

$$\text{nd}^*(\mathcal{L}) := \min_{\substack{M \subseteq \mathcal{L} \\ M \neq \{0\}}} \text{nd}(M)$$

α -DSP: Given \mathcal{L} find $M \subseteq \mathcal{L}$, $M \neq \{0\}$
such that $\text{nd}(M) \leq \alpha \text{nd}^*(\mathcal{L})$.

Remark: dimension of M is not fixed!

Key primitive for finding sparse lattice projections. Will focus on this problem.

Densest Subspace Problem

Theorem:

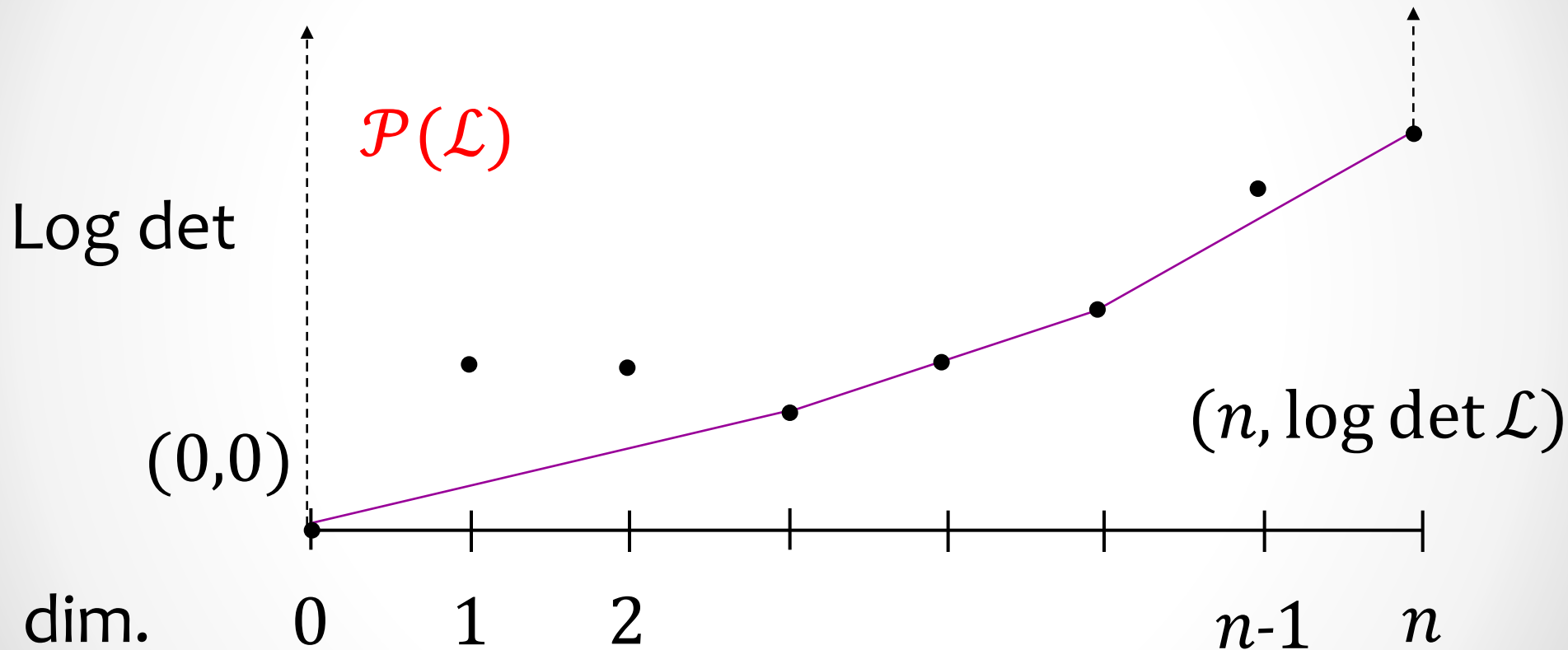
Can solve $O(\log n)$ -DSP in $2^{O(n)}$ time with high probability.

High Level Approach:

If \mathcal{L} is not approximate minimizer:
find $y \neq 0$, orthogonal to actual minimizer,
and recurse on $\mathcal{L} \cap y^\perp$

Canonical Polytope [Stuhler 76]

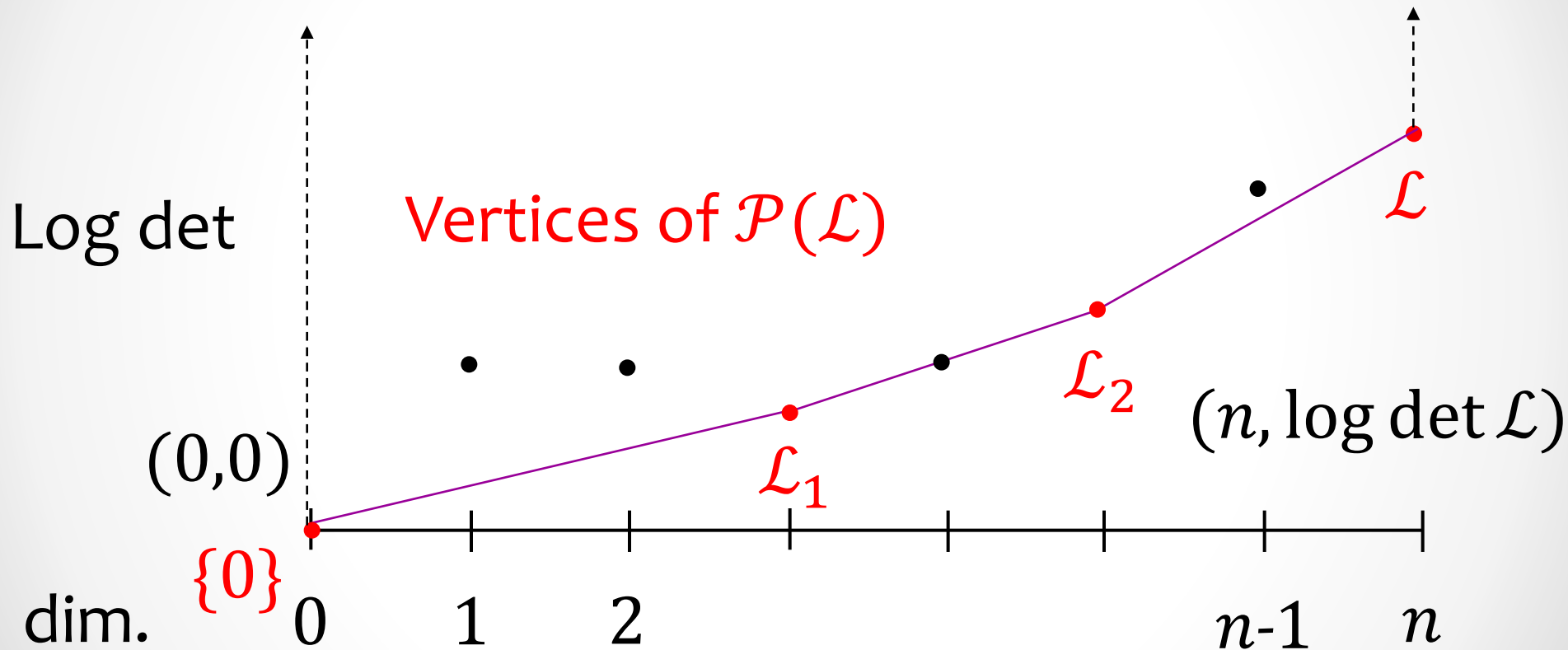
n dimensional lattice \mathcal{L}



$\{(k, \log \det(M)) : \text{sublattice } M \subseteq \mathcal{L}, \dim(M) = k\}$

Canonical Filtration [Stuhler 76]

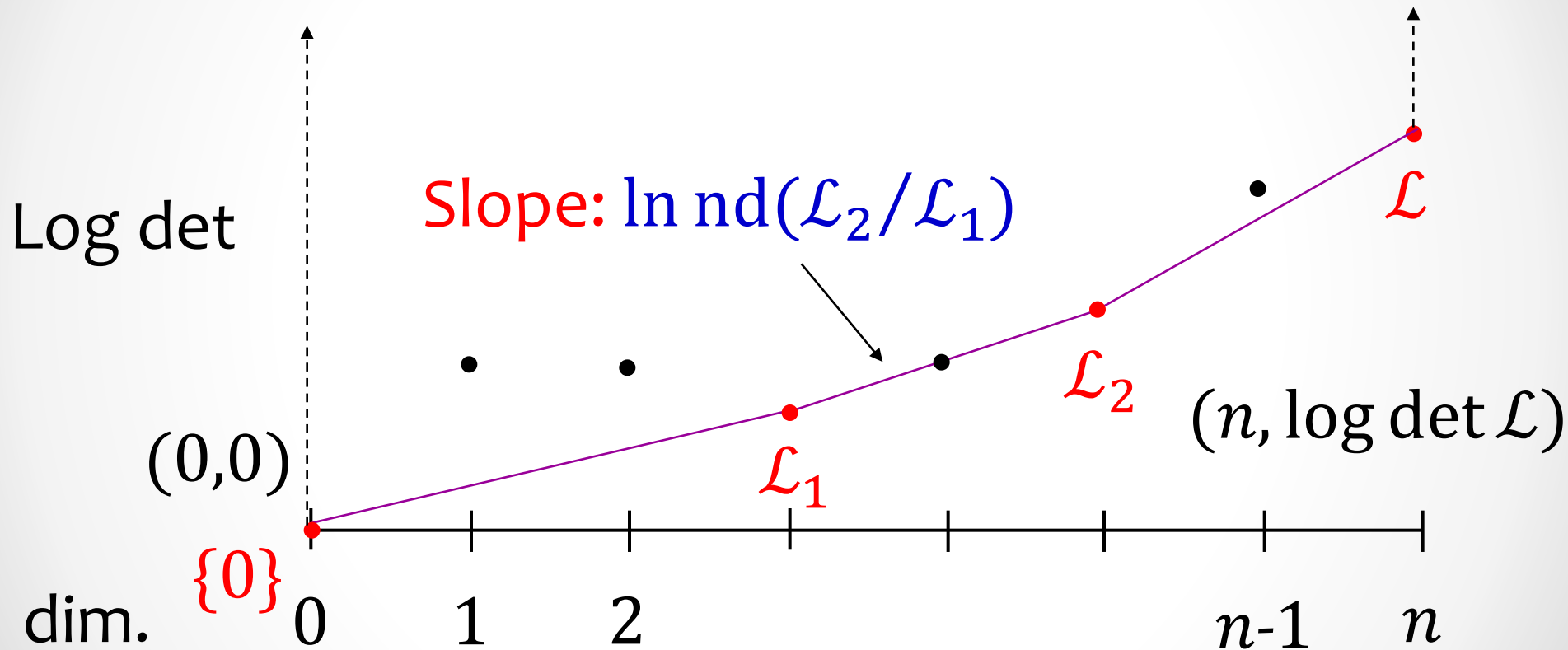
n dimensional lattice \mathcal{L}



Form Chain: $\{0\} = \mathcal{L}_0 \subset \mathcal{L}_1 \subset \dots \subset \mathcal{L}_k = \mathcal{L}$

Canonical Filtration [Stuhler 76]

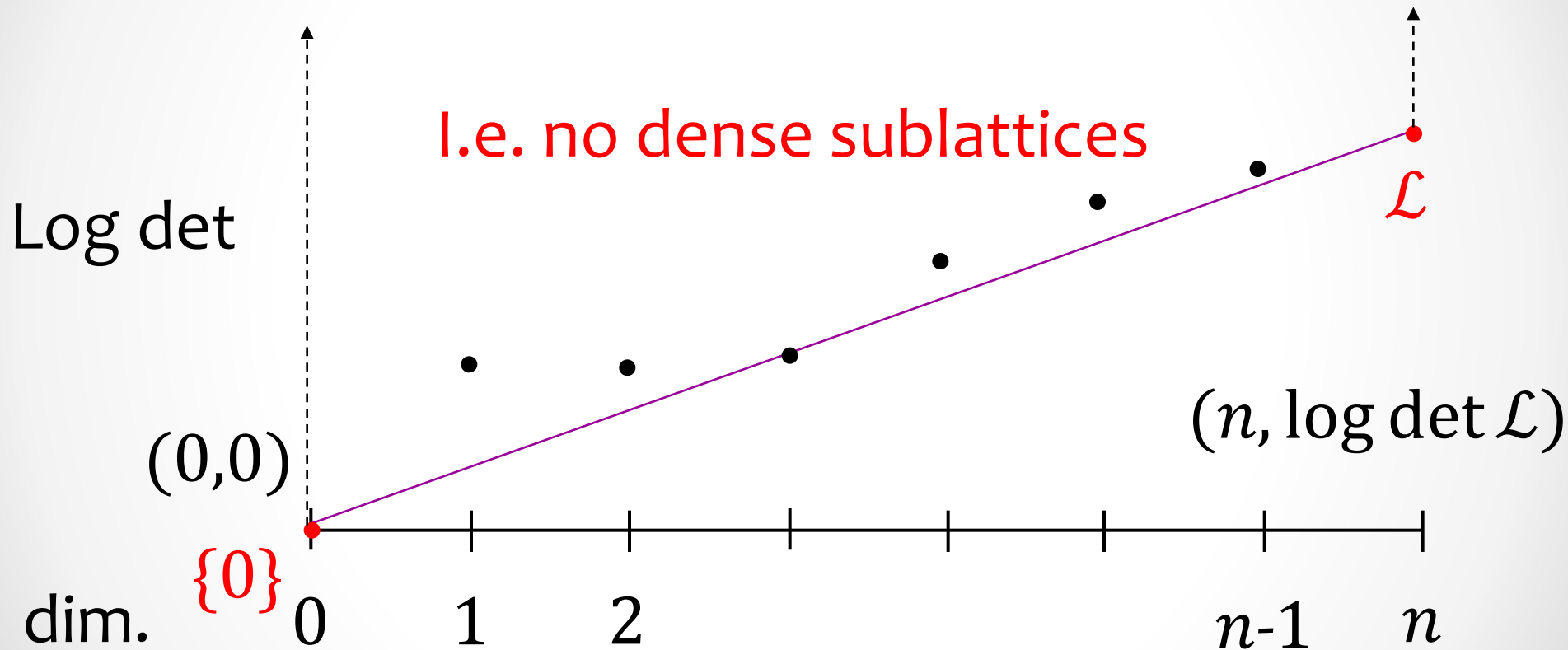
n dimensional lattice \mathcal{L}



Form Chain: $\{0\} = \mathcal{L}_0 \subset \mathcal{L}_1 \subset \dots \subset \mathcal{L}_k = \mathcal{L}$

Stable Lattice [Stuhler 76]

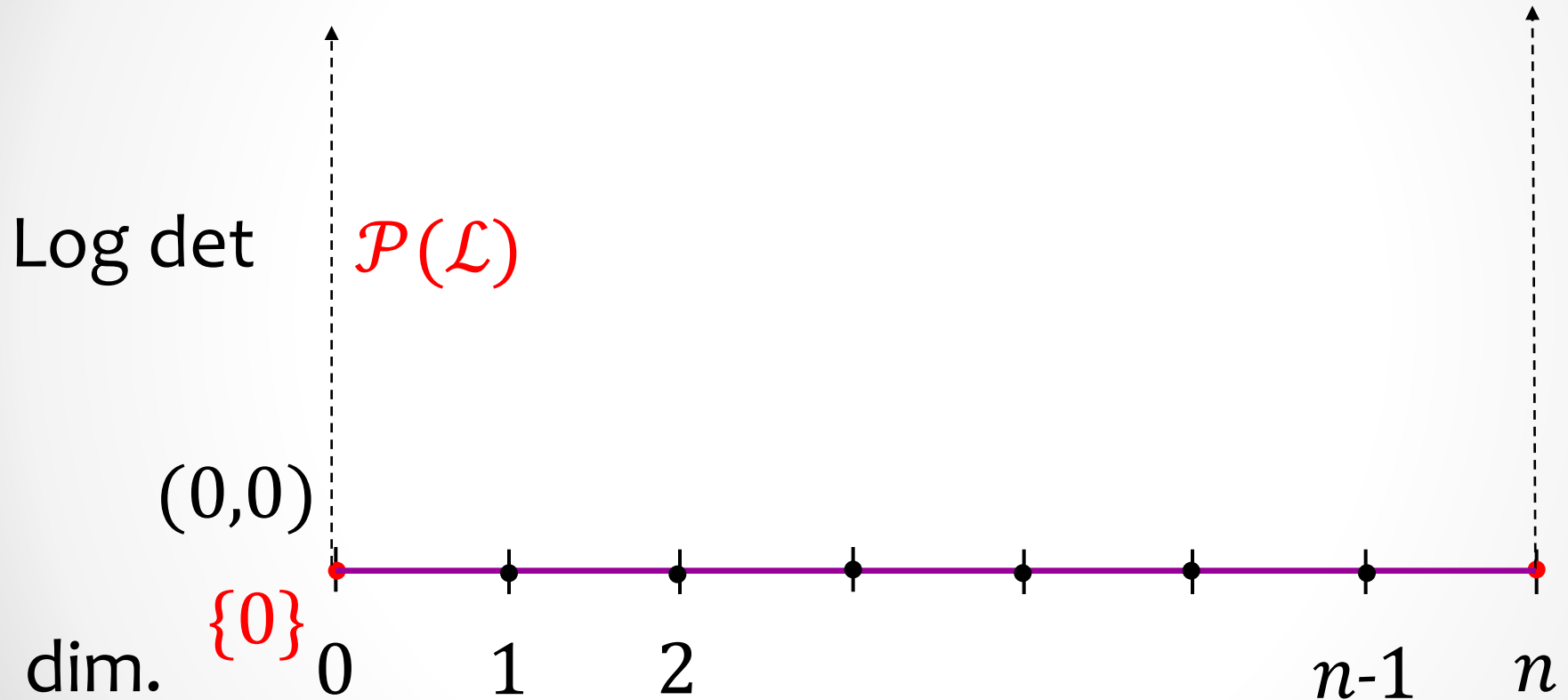
n dimensional lattice \mathcal{L} is **stable**



If canonical filtration is trivial: $\{0\} \subset \mathcal{L}$

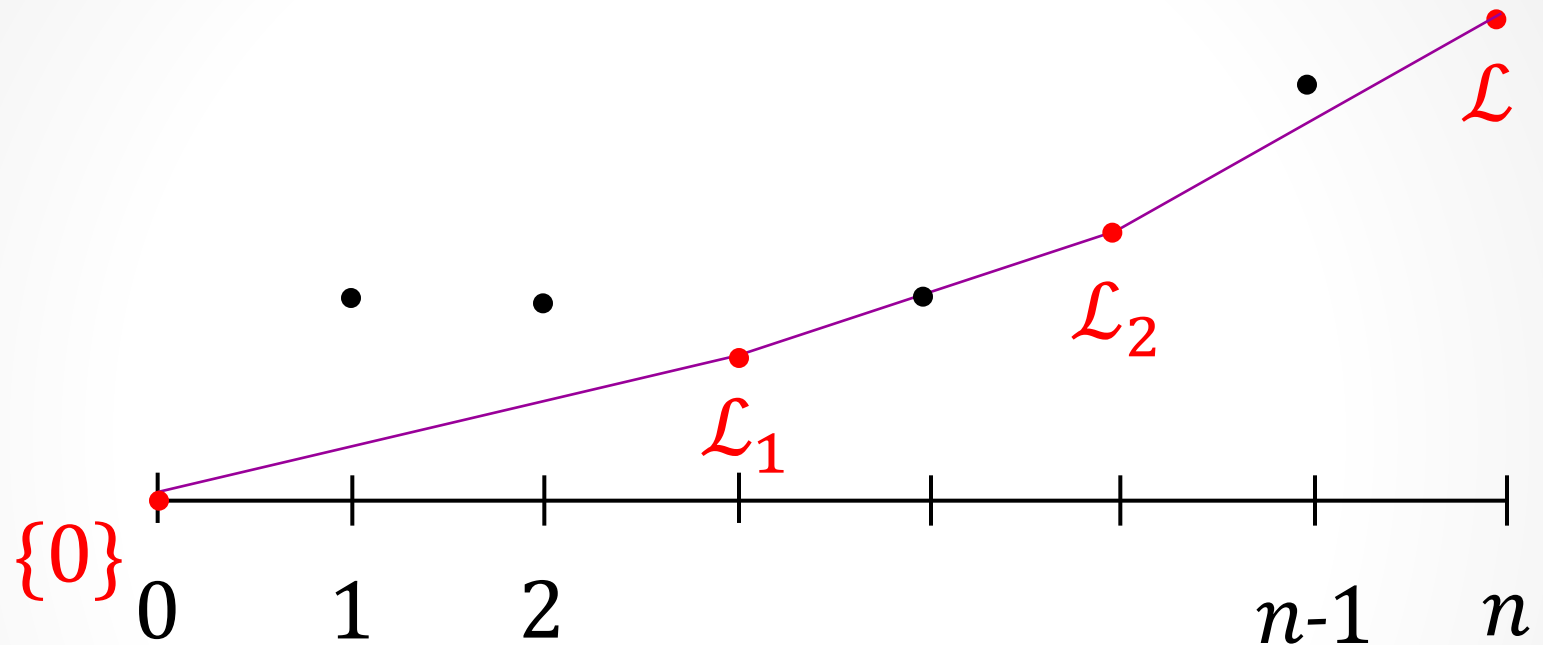
Stable Lattice [Stuhler 76]

Example: $\mathcal{L} = \mathbb{Z}^n$



\mathbb{Z}^n has trivial filtration: $\{0\} \subset \mathbb{Z}^n$

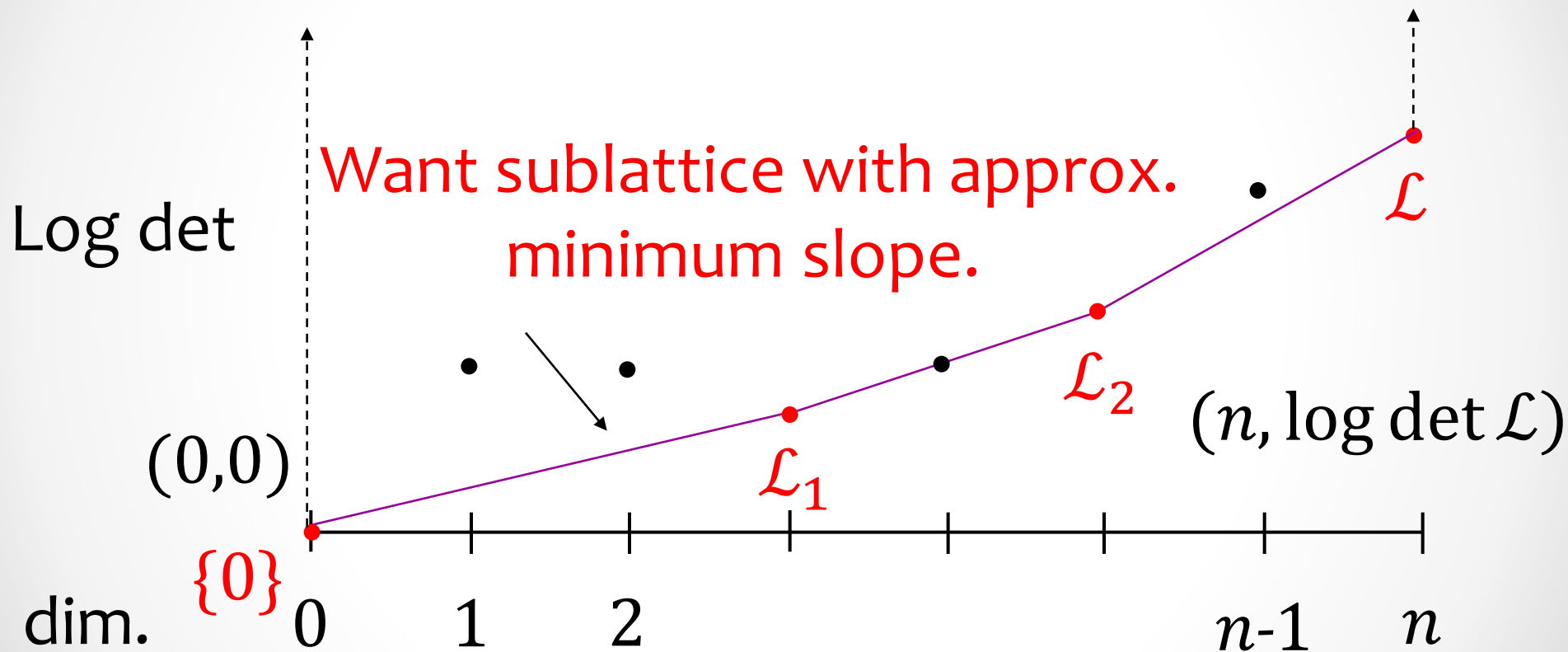
Canonical Filtration [Stuhler 76]



1. Form Chain: $\{0\} = \mathcal{L}_0 \subset \mathcal{L}_1 \subset \dots \subset \mathcal{L}_k = \mathcal{L}$.
2. Blocks $\mathcal{L}_i / \mathcal{L}_{i-1}$ are stable.
3. Slope increasing: $\text{nd}(\mathcal{L}_i / \mathcal{L}_{i-1}) < \text{nd}(\mathcal{L}_{i+1} / \mathcal{L}_i)$.

Densest Subspace Problem

n dimensional lattice \mathcal{L}



Densest Subspace Problem

High Level Approach:

If \mathcal{L} is not approximate minimizer:

find $y \neq 0$, orthogonal to actual minimizer,
and recurse on $\mathcal{L} \cap y^\perp$

Q: Where to find y ?

A: The dual lattice \mathcal{L}^*

Q: How to find it in \mathcal{L}^* ?

A: Discrete Gaussian sampling

Dual Lattice

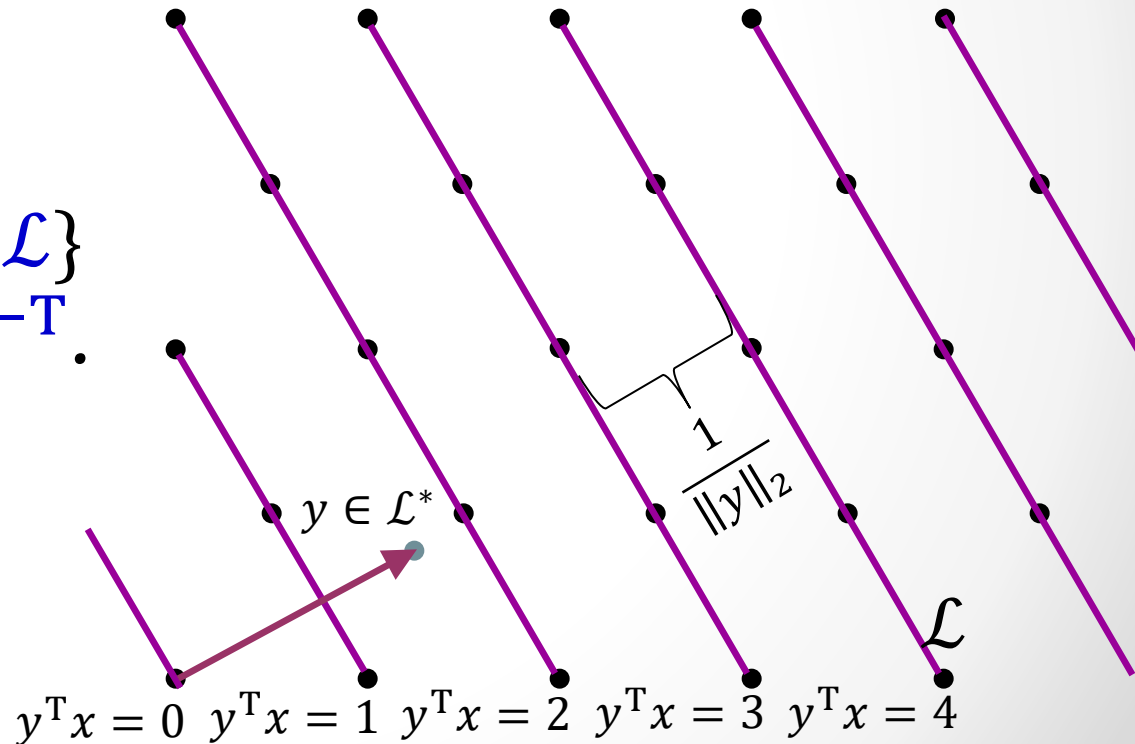
A lattice $\mathcal{L} \subseteq \mathbb{R}^n$ is $B\mathbb{Z}^n$ for a basis $B = (b_1, \dots, b_n)$.

The dual lattice is

$$\mathcal{L}^* = \{y \in \text{span}(\mathcal{L}) : y^T x \in \mathbb{Z} \ \forall x \in \mathcal{L}\}$$

\mathcal{L}^* is generated by B^{-T} .

Remark: $(\mathbb{Z}^n)^* = \mathbb{Z}^n$



Dual Lattice

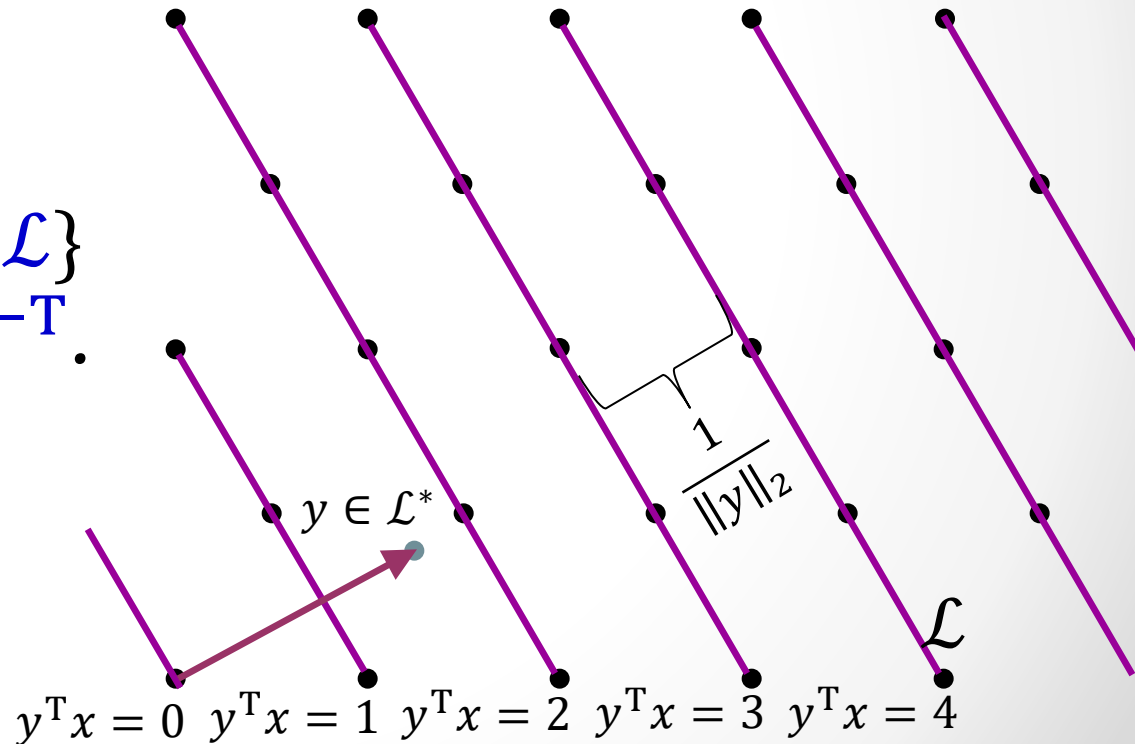
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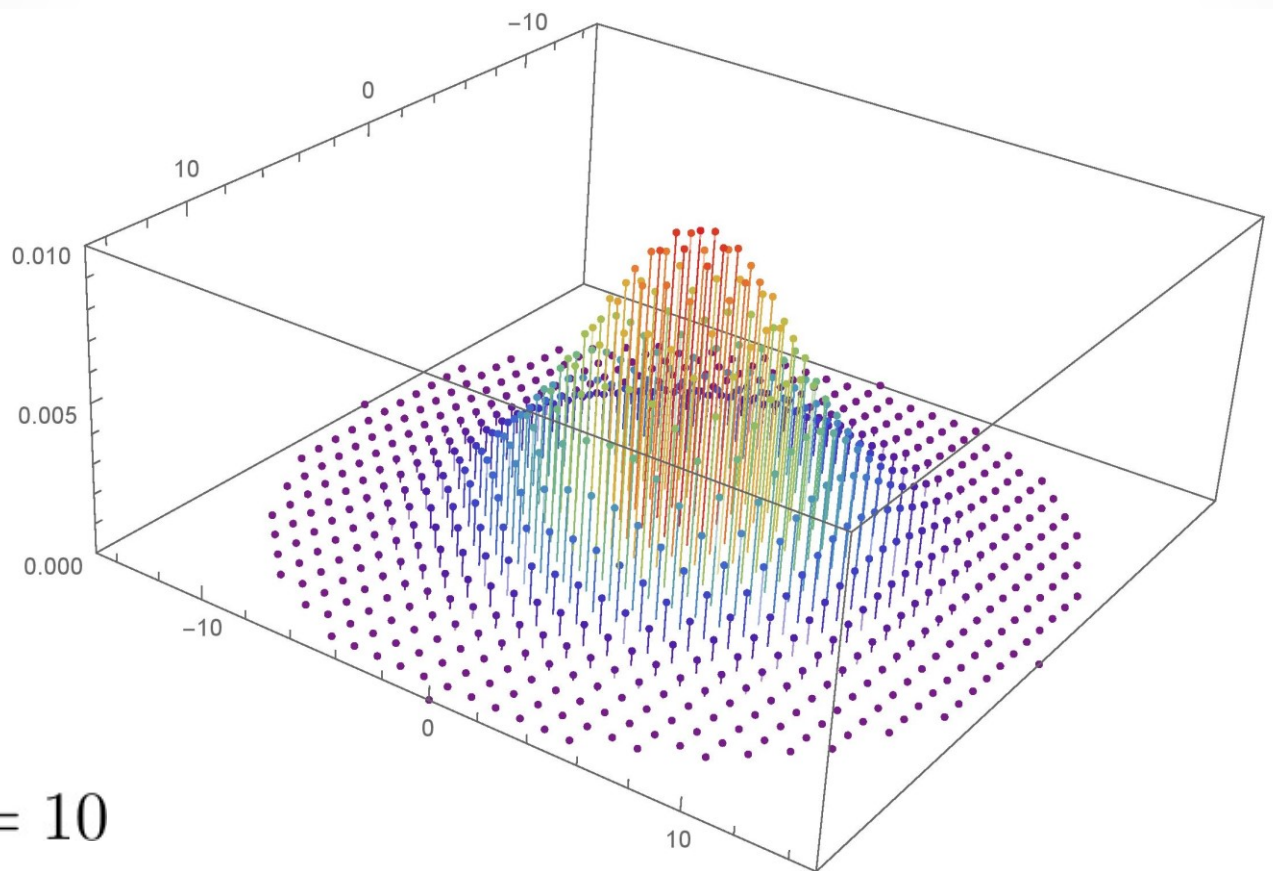
\mathcal{L}^* is generated by B^{-T} .

$$\det(\mathcal{L}^*) = 1/\det(\mathcal{L})$$



Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$

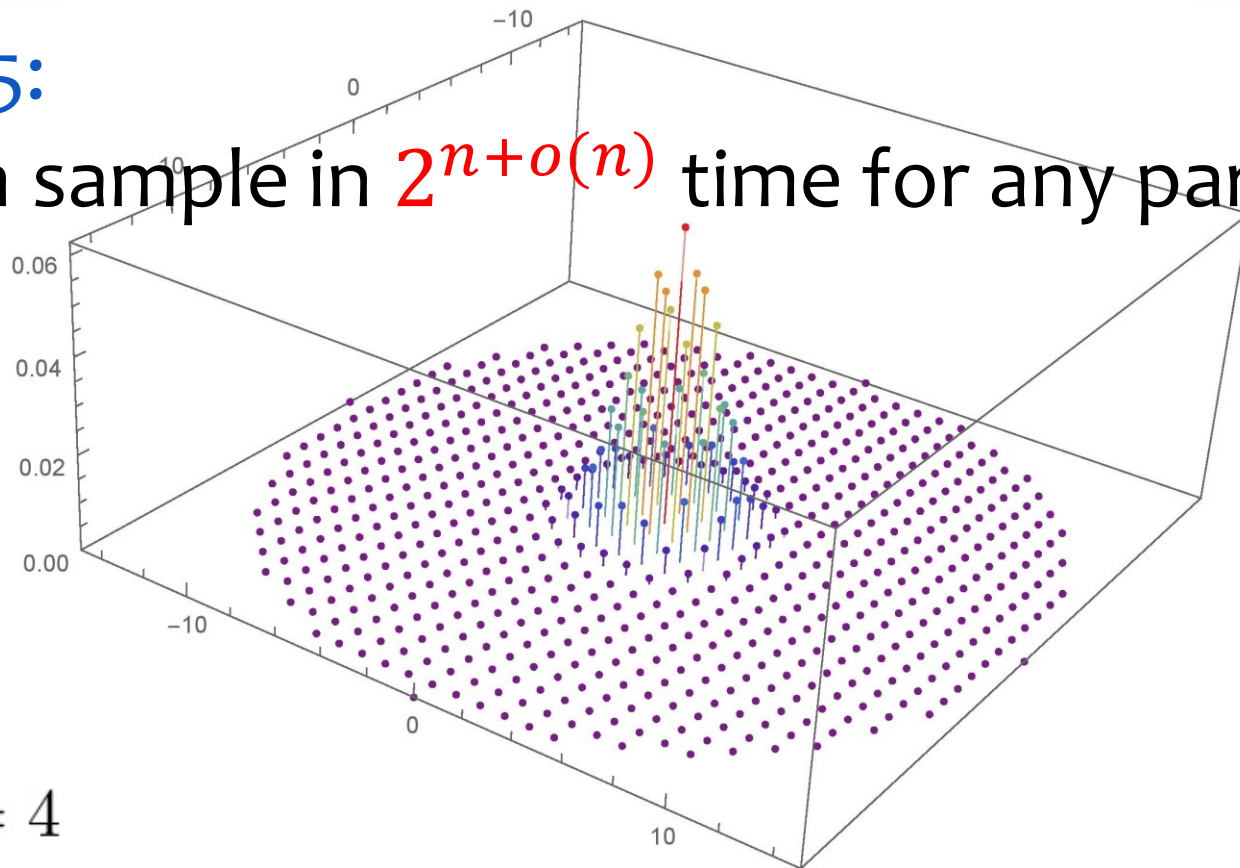


Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$

ADRS '15:

Can sample in $2^{n+o(n)}$ time for any parameter.



$s = 4$

Main Procedure

Repeat until $\mathcal{L} = \{0\}$

$s \leftarrow \text{nd}(\mathcal{L})$

Update $M \leftarrow \mathcal{L}$ if $\text{nd}(M) > s$

Sample $y \sim D_{\mathcal{L}^*, c/s}$ until $y \neq 0$

$\mathcal{L} \leftarrow \mathcal{L} \cap y^\perp$

Main Lemma: At any iteration,
if \mathcal{L} not $O(\log n)$ approximate minimizer,
then $\mathcal{L} \cap y^\perp$ contains minimizer w.p. $\Omega(1)$.

Proc. finds apx minimizer with prob. $2^{-O(n)}$.

Proof of Main Lemma

wlog $\det(\mathcal{L}) = \det(\mathcal{L}^*) = 1$

$\mathcal{L}_1 \subseteq \mathcal{L}$ densest sublattice

sample $y \sim D_{\mathcal{L}^*, c}$

If $\text{nd}(\mathcal{L}_1) \ll \frac{1}{\log n}$

must show that $y \neq 0$ and $y \perp \mathcal{L}_1$ w.p. $\Omega(1)$.

$$\det(\mathcal{L}) = \det(\mathcal{L}^*) = 1$$

$$\mathcal{L}_1 \subseteq \mathcal{L} \text{ densest sublattice, } \text{nd}(\mathcal{L}_1) \ll \frac{1}{\log n}$$

Sample $y \sim D_{\mathcal{L}^*, c}$

Want:

1. $\Pr[y = 0] \leq \epsilon$
2. $\Pr[y \in \mathcal{L}^* \cap \mathcal{L}_1^\perp] \geq 1 - \epsilon$

$$\det(\mathcal{L}) = \det(\mathcal{L}^*) = 1$$

$$\begin{aligned}
 1. \quad \Pr_{y \sim D_{\mathcal{L}^*, c}} [y = 0] &= \frac{1}{\rho_c(\mathcal{L}^*)} \\
 &\leq \frac{1}{|\mathcal{L}^* \cap \sqrt{n} B_2^n| e^{-n/c^2}} \\
 (\text{By Minkowski}) \quad &\leq \frac{1}{2^n e^{-n/c^2}} = o(1)
 \end{aligned}$$

$$\rho_c(A) := \sum_{x \in A} e^{-\|x/c\|^2}$$

$$\det(\mathcal{L}) = \det(\mathcal{L}^*) = 1$$

$\mathcal{L}_1 \subseteq \mathcal{L}$ densest sublattice, $\text{nd}(\mathcal{L}_1) \ll 1/\log n$

$$W := \mathcal{L}_1^\perp$$

$$2. \quad \Pr_{y \sim D_{\mathcal{L}^*, c}} [y \in W] = \frac{\rho_c(\mathcal{L}^* \cap W)}{\rho_c(\mathcal{L}^*)}$$

$$\begin{aligned} (\text{ortho. is worst-case}) &\geq \frac{\rho_c(\mathcal{L}^* \cap W)}{\rho_c(\mathcal{L}^* \cap W) \rho_c(\mathcal{L}^* / W)} \\ &= \frac{1}{\rho_c(\mathcal{L}^* / W)} \end{aligned}$$

$$\rho_c(A) := \sum_{x \in A} e^{-\|x/c\|^2}$$

$$\det(\mathcal{L}) = \det(\mathcal{L}^*) = 1$$

$\mathcal{L}_1 \subseteq \mathcal{L}$ densest sublattice, $\text{nd}(\mathcal{L}_1) \ll 1/\log n$

$$W := \mathcal{L}_1^\perp$$

2. Need to show $\rho_c(\mathcal{L}^*/W) \leq 1 + o(1)$

Key: $\text{nd}^*(\mathcal{L}^*/W) = 1/\text{nd}(\mathcal{L}_1) \gg \log n$

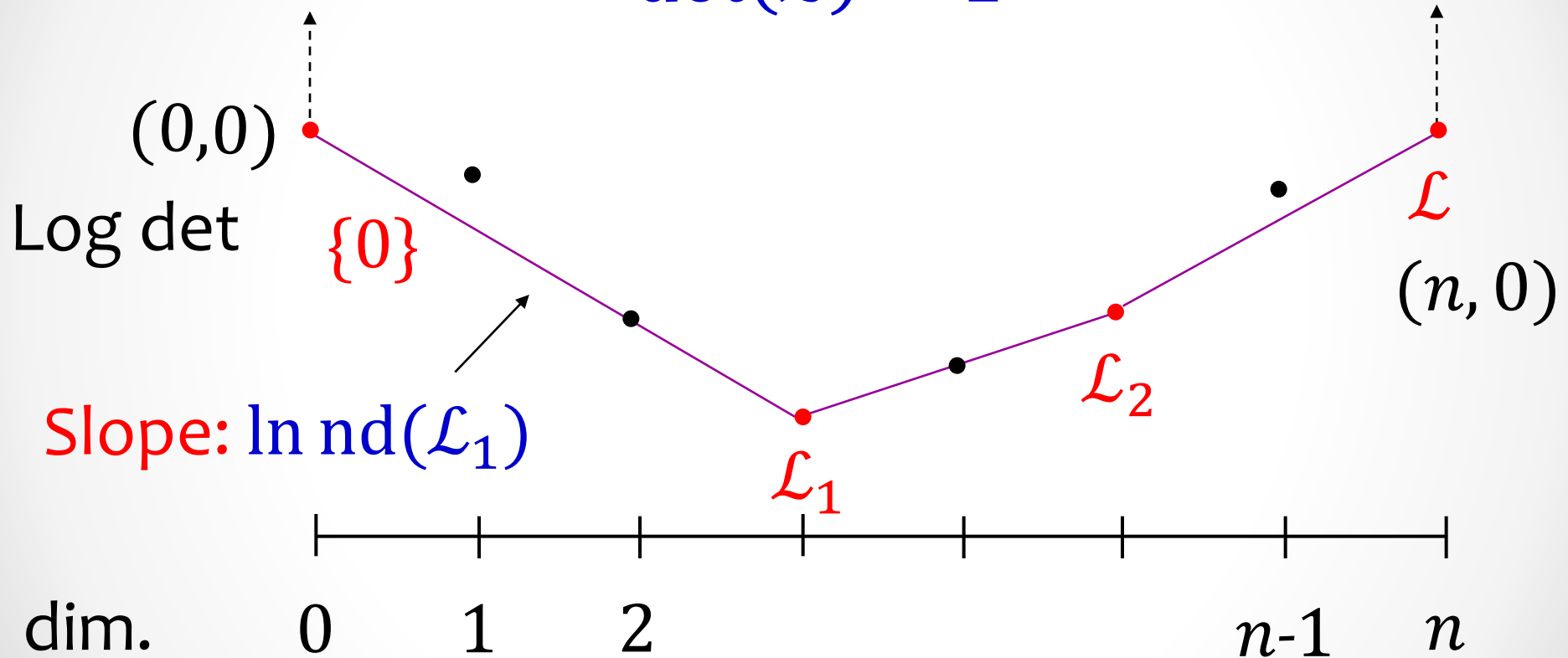
Reverse-Minkowski \Rightarrow

$$|(\mathcal{L}^*/W) \cap rB_2^n| \ll e^{o(r^2)}, \forall r \geq 0$$

$$\rho_c(A) := \sum_{x \in A} e^{-\|x/c\|^2}$$

Canonical Polytope of \mathcal{L}^* ?

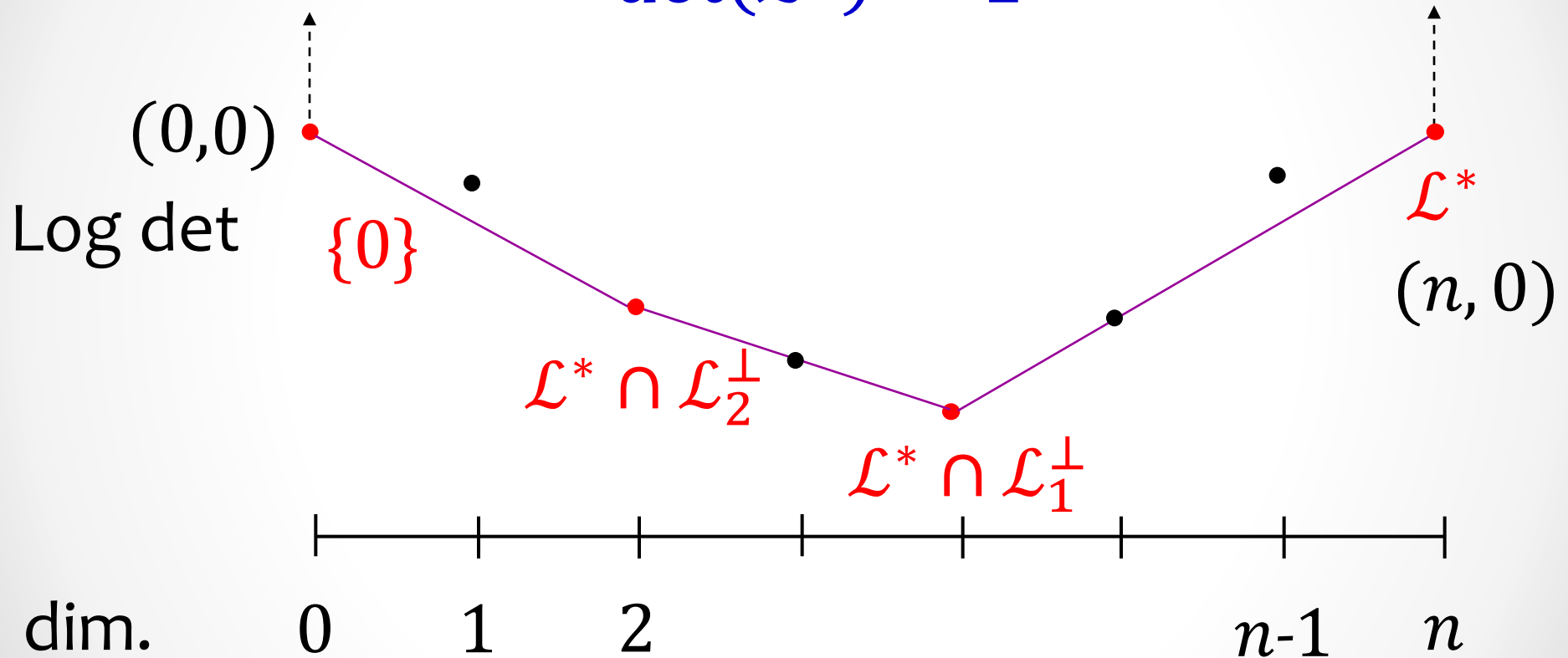
$$\det(\mathcal{L}) = 1$$



$$\text{Assumption: } \det(\mathcal{L}) = 1$$

Canonical Polytope of \mathcal{L}^* ?

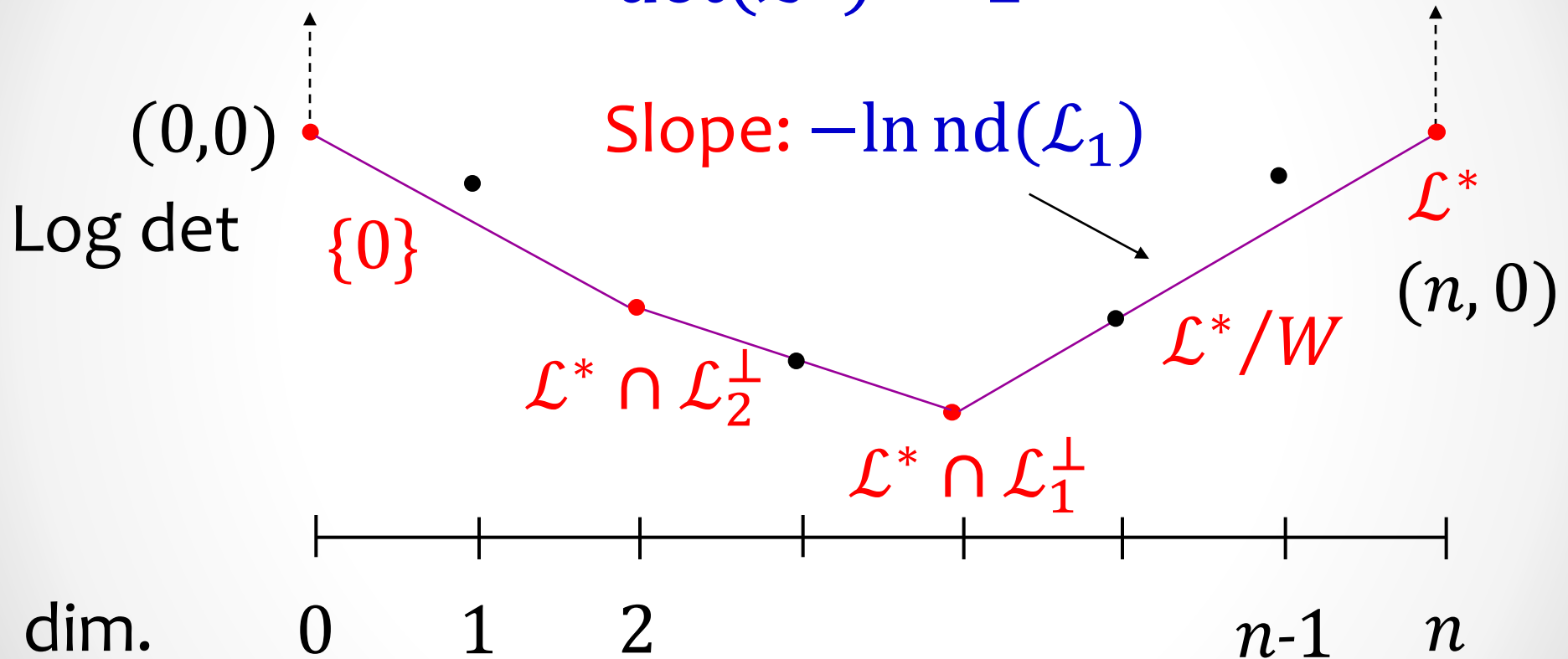
$$\det(\mathcal{L}^*) = 1$$



$$\text{Map } M \rightarrow \mathcal{L}^* \cap M^\perp$$

Canonical Polytope of \mathcal{L}^* ?

$$\det(\mathcal{L}^*) = 1$$



$\mathcal{P}(\mathcal{L}^*)$ is “reflection” of $\mathcal{P}(\mathcal{L})$

Conclusions

1. Algorithmic version of ℓ_2 Kannan-Lovász conjecture via discrete Gaussian sampling.
2. Lower bound certificates for covering radius that are tight within $O(1)$ under slicing conjecture.

Open Problem

1. Prove KL conjecture for general convex bodies.
2. Prove Slicing conjecture for Voronoi cells.